



PC Securities Limited
寶鉅證券有限公司

Online Trading System
User Manual



PC Securities Online Trading System User Manual

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PC Securities Online Trading System User Manual

1 Introduction

This user manual provides a step-by-step instruction on how to use PC Securities Online Trading System User Manual. The objective of designing PC Securities Online Trading System is to assist users to run their trading businesses in a more effective and efficient way with the following user friendly functional modules:

This user manual consists of following chapters:

Chapter	Description
1	Introduction
	Introduction of PC Securities Online Trading System
2	About PC Securities Online Trading System - To provides details information on online trading. - The system overview and standards are explained in this chapter
3	Getting Started - Login/logout online trading page
4	Order Processing & Account Management 1. Securities Trading - Explain how to use the functions include, place/ amend/ cancel/ check the orders - eIPO - What is the pre-opening session? 2. Fund Trading - Explain how to use the functions include, place/ amend/ cancel/ check the orders
5	Deposit / Withdrawal
6	Report
7	Frequently Asked Question (FAQ)



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2 About PC Securities Online Trading System

PC Securities Online Trading System is a trading system with comprehensive functionality which can facilitate efficient and effective workflow in the entire trading logistic by being ready to interface with PC Securities Accounts.





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3 Getting Start

3.1 Login

Follow these instructions to log into online trading system:

- (a) Switch on the computer.
- (b) Open web browser.
- (c) Input the URL (<http://it.pcsec.com.hk>).
- (d) Select language “Traditional Chinese”, “Simplified Chinese” or “English”.
- (e) Select “normal broadband”; or select “behind firewall” if there is a firewall.
- (f) Enter “Username” & “Password” and click “Login”.

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Username :

Password :

[Forgot Password](#)

English ▼ normal broadband ▼

Login Reset



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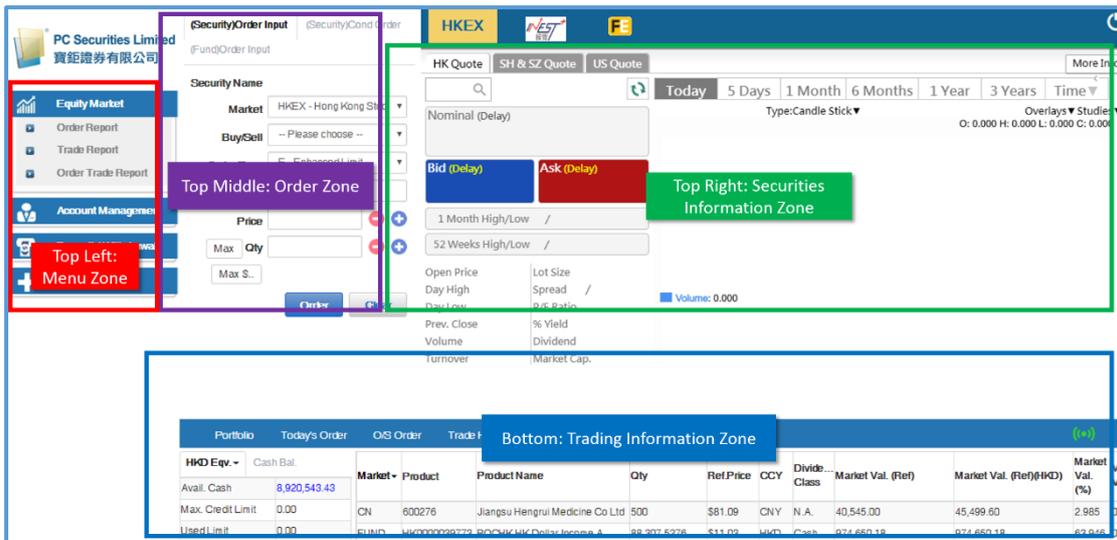
Remarks:

If you forget the password, you can click "Forgot Password" and enter the required information to "Reset Password". "Reset Password" will be sent to you by your registered email address or mobile number via email or SMS.

The screenshot displays the PC Securities Limited website interface. On the left, there is a login form with fields for 'Username' and 'Password', a 'Forgot Password' link, a language dropdown set to 'English', and a 'normal' button. Below these are 'Login' and 'Reset' buttons. A modal window titled 'Reset Password - Google Chrome' is overlaid on the right. This modal contains a 'Reset Password' form with the following fields: 'User Name', 'First 6 characters of Identity Document No. (excluding symbols and the contents in bracket)', 'Registered Email', and 'Registered Mobile'. There is an 'Or' option between the email and mobile fields. A 'Reset Password' button is located at the bottom of the modal.

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- (g) After Login, you can find the main window, the online trading page is divided into 4 regions.
- Top Left: Menu Zone
 - Top Middle: Order Zone
 - Top Right: Product Information Zone
 - Bottom: Trading Information Zone

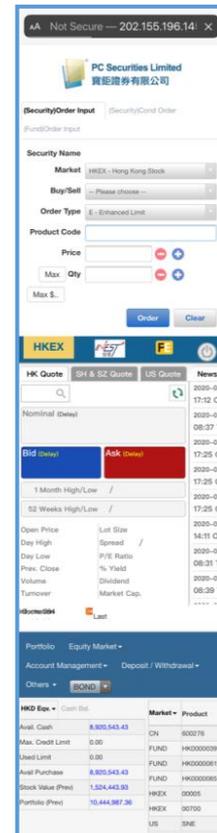


The screenshot shows the main window of the PC Securities Online Trading System. The interface is divided into four distinct regions:

- Top Left: Menu Zone** (Red box): Contains navigation options like Equity Market, Order Report, Trade Report, and Account Management.
- Top Middle: Order Zone** (Purple box): Features the Security Name input field, Market selection (HKEX - Hong Kong Stock), Buy/Sell dropdown, and Price/Qty input fields.
- Top Right: Product Information Zone** (Green box): Displays real-time market data for the selected security, including Bid and Ask prices, 1 Month High/Low, 52 Weeks High/Low, and various financial metrics like Open Price, Day High, Day Low, Spread, P/E Ratio, % Yield, Dividend, Turnover, and Market Cap.
- Bottom: Trading Information Zone** (Blue box): Shows a table of the user's portfolio and orders. The table includes columns for HKD Eqv., Cash Bal., Avail. Cash, Max. Credit Limit, Used Limit, Market, Product, Product Name, Qty, Ref Price, CCY, Divide Class, Market Val. (Ref), Market Val. (Ref)(HKD), and Market Val. (%).

- (h) The main window of the system will be changed in terms of the dimension of the display. If the dimension narrowed, the interface will be arranged in a straight line:

- Top: Order Zone
- Middle: Product Information Zone
- Bottom: Trading Information Zone

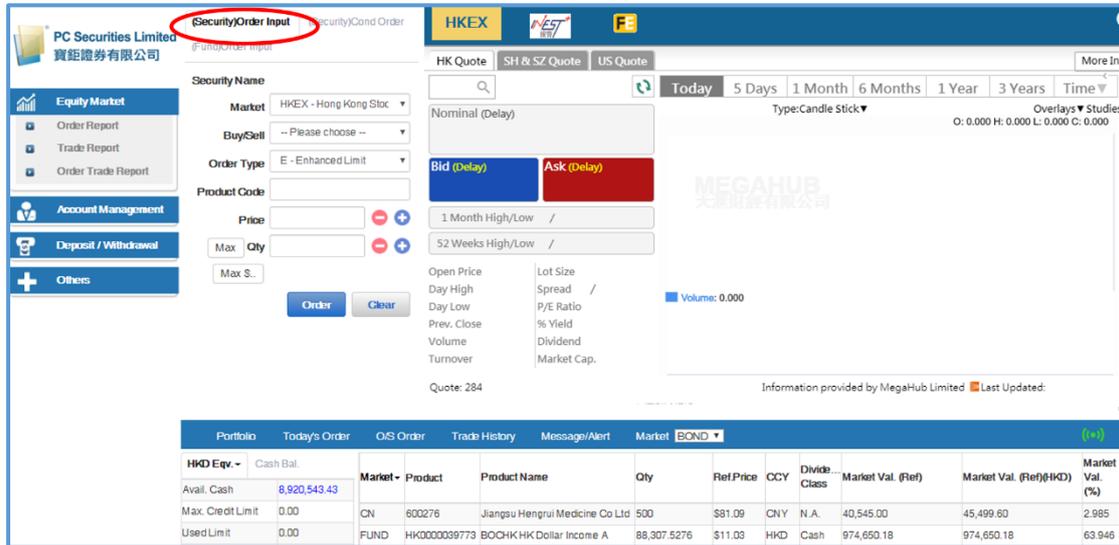


This screenshot shows the PC Securities Online Trading System interface in a narrow, vertical layout. The components are stacked vertically:

- Top: Order Zone**: Contains the Security Name input field, Market selection (HKEX - Hong Kong Stock), Buy/Sell dropdown, and Price/Qty input fields.
- Middle: Product Information Zone**: Displays real-time market data for the selected security, including Bid and Ask prices, 1 Month High/Low, 52 Weeks High/Low, and various financial metrics like Open Price, Day High, Day Low, Spread, P/E Ratio, % Yield, Dividend, Turnover, and Market Cap.
- Bottom: Trading Information Zone**: Shows a table of the user's portfolio and orders, similar to the wide layout but with a smaller font size.

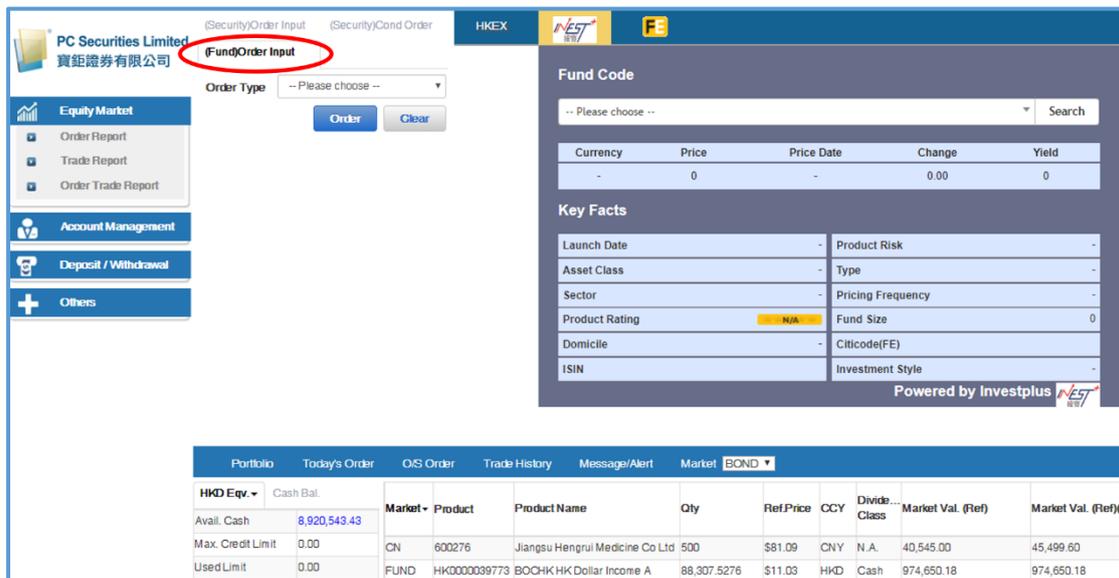
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(i) Click “Securities (Order Input)” for securities trading.



Market	Product	Product Name	Qty	RefPrice	CCY	Divide... Class	Market Val. (Ref)	Market Val. (Ref)(HKD)	Market Val. (%)
CN	600276	Jiangsu Hengrui Medicine Co Ltd	500	\$81.09	CNY	N.A.	40,545.00	45,499.60	2.985
FUND	HK0000039773	BOCHK HK Dollar Income A	88,307.5276	\$11.03	HKD	Cash	974,650.18	974,650.18	63.946

(j) Click “Fund (Order Input)” for fund trading.



Currency	Price	Price Date	Change	Yield
-	0	-	0.00	0

Key Facts	Value
Launch Date	-
Asset Class	-
Sector	-
Product Rating	N/A
Domicile	-
ISIN	-

Market	Product	Product Name	Qty	RefPrice	CCY	Divide... Class	Market Val. (Ref)	Market Val. (Ref)(HKD)	Market Val. (%)
CN	600276	Jiangsu Hengrui Medicine Co Ltd	500	\$81.09	CNY	N.A.	40,545.00	45,499.60	2.985
FUND	HK0000039773	BOCHK HK Dollar Income A	88,307.5276	\$11.03	HKD	Cash	974,650.18	974,650.18	63.946



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3.2 Change Password

- To change the password, click “Account Management” on the main window menu, and then click “Change Password”.
- Input the old password and new password respectively. Make sure that “New Password” and “Retype Password” are the same.
- Click “Update Login Password” to confirm.
- The new password is updated immediately.

3.3 Change Account Info

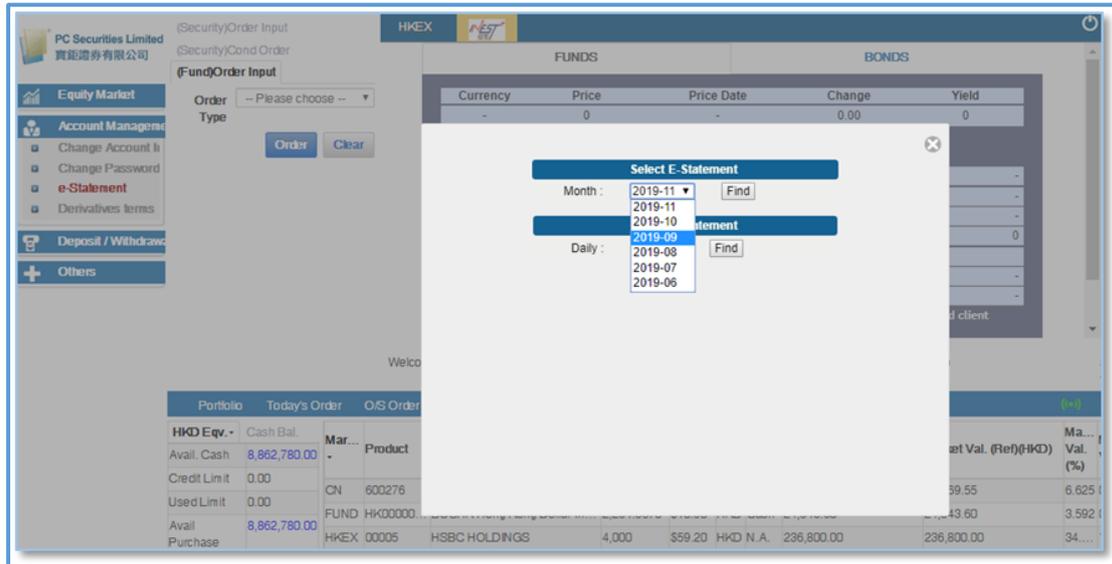
- To change account info, click “Account Management” on the main window menu, and then click “Change Account Info”.
- Set up the “Login ID”.
- Tick the box if you do not wish to receive order notification email.
- Click “Update” to confirm and information updated immediately.



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3.4 e-Statement

- Click “Account Management” on the main window menu, and then click “e-Statement”.
- Select month(s) you would like to check it.

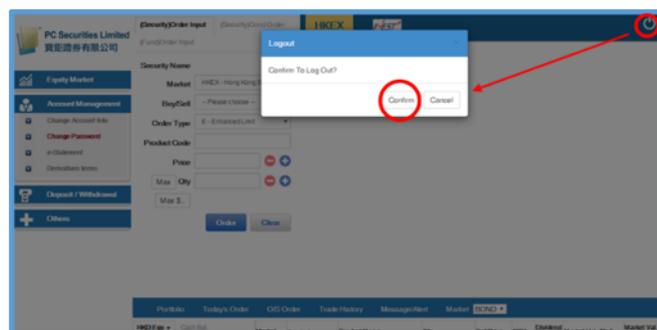
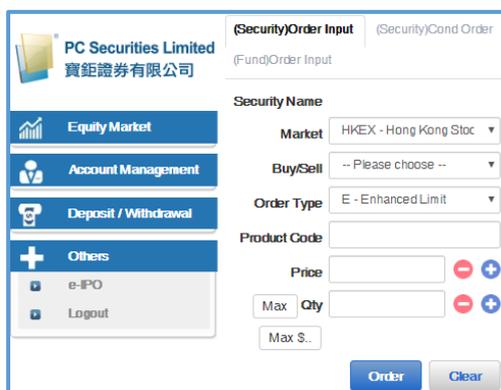


Remarks:

“e-Statement” is only shown the record in 6 months.

3.5 Logout

To log out, click “Other” and press “Logout” button on the menu bar or click  on the main window of the right, and then click “Confirm”.





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4 Order Processing

4.1 Securities Trading

4.1.1 Input Order

- (a) Click "(Security) Order Input" on the main window menu.

(Security)Order Input (Security)Cond Order
(Fund)Order Input

Security Name

Market HKEX - Hong Kong Stock ▼

Buy/Sell -- Please choose -- ▼

Order Type E - Enhanced Limit ▼

Product Code

Price

Max Qty

Max \$..

Order Clear

- (b) Input the order information such as Market, Buy/Sell, Order Type, Product Code, Price and Qty. Click "Order" to place the order or click "Clear" to reset.
- (c) You can also double-click the specified price two times in the real-time quote. The order will be automatically displayed on the main screen. You can input the quantity and press "Order" for order placing. You can also input the stock code in the "Portfolio". The selling order's window will be shown automatically. Enter the price, and click "Order" to complete the placement. The quantity of stock can also be changed accordingly.



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The screenshot displays two side-by-side order input forms. The left form is for a Buy order, and the right form is for a Sell order. Both forms include the following fields and controls:

- Security Name
- Market: HKEX - Hong Kong Stock
- Buy/Sell: Buy (left) / Sell (right)
- Order Type: E - Enhanced Limit
- Product Code
- Price: Input field with minus (-) and plus (+) buttons
- Max Qty: Input field with minus (-) and plus (+) buttons
- Max \$.: Input field
- Order button
- Clear button

Remark:

1. Details of Order Types, please refer to FAQ section.
2. If you press “+” near the “Price” field, 1 price spread would be added on the existing price.
If you press “-” near the “Price” field, 1 price spread would be minus from the existing price.
3. If you input “k” in the “Qty” field, it represents “000”. For example, to enter (5,000), simply input “5” and then “k”.
4. If you input “m” in the “Qty” field, it represents “000000”.
For example, to enter (5,000,000), simply input “5” and then “m”.
5. Odd lot trading (i.e. less than one lot) is not allowed in the online trading system.
6. The “Max” function is to calculate the maximum quantity of the product that you can buy.
7. The “Max\$” function is to calculate the maximum quantity of the product that you can buy within a designed amount.



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4.1.2 Program Trade

PC Securities online trading system supports basic program trading.

Click the “(Security) Cond Order” on the main window menu to select the conditional order.

The screenshot shows the PC Securities Online Trading System interface. At the top, there are two tabs: "(Security)Order Input" and "(Security)Cond Order". The "(Security)Cond Order" tab is selected and circled in red. Below the tabs, there are two columns of input fields. The left column has fields for "Send Condition" (set to "Send Now"), "Trigger Price", "Stop Price", and "Expiry Date". The right column has the same fields, but the "Send Condition" dropdown menu is open, showing options: "Send Now", "Send When Nominal Rises At/Above", and "Send When Nominal Falls At/Below".

Select condition and input the trigger price, the system will then send the order to market at the trigger price.

Send Condition	Details
Send Now	The order will send to market immediately.
Send When Nominal Rises At/Above	For sell order - the order will be kept in the system, when the nominal rises at or above the trigger price; the order will then release to market.
Send When Nominal Falls At/Below	For buy order - the order will be kept in the system, when the nominal falls at or below the trigger price; the order will then release to market.



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4.1.3 Update Order

- (a) Click “HKEX”, “CN” or “US” on the main window menu to select HK Stocks Market.
- “HKEX” is Hong Kong Stock Market
 - “CN” are SH-HK Stock Connect & SZ-HK Stock Connect
 - “US” is American Stock Market

Portfolio		Today's Order	O/S Order	Trade History	Message/Alert	Market	BOND	BOND	CN	FUND	HKEX	US	Unit Price	CCY	Divide...	Class	Market Val. (Ref)
HKD Eqv. -	Cash Bal.																
Avail. Cash	8,920,543.43																
Max. Credit Limit	0.00																
Used Limit	0.00																
Avail Purchase	8,920,543.43																
Stock Value (Prev)	1,524,170.51																
Portfolio (Prev)	10,444,713.94																

- (b) Click “Today’s Order” to get the order information. All orders would be updated instantly.
- (c) Click “Amend” to update an order.

Portfolio		Today's Order	O/S Order	Trade History	Message/Alert	Market	HKEX	
Amend/Cancel	Status	Update/Cancel	Order No.	Buy/Sell	Market	Product Code	Type	CCY
Amend	Cancel	NEW	20600	Buy	HKEX	00001	E	HKD
Amend	Cancel	NEW	20599	Buy	HKEX	00001	E	HKD
Amend	Cancel	NEW	20597	Buy	HKEX	00001	E	HKD
Amend	Cancel	NEW	20596	Buy	HKEX	00001	E	HKD



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- (d) Input the new price or new quantity, then click “Confirm” to send the update request.

Update Order (20600)

Market: HKEX
Buy/Sell: Buy
Order Type: E - Enhanced Limit
Product: 00001
Price: 73.95
Qty: 500

Confirm Cancel

Remark:

1. Note that sending the update request does not necessarily mean that the order is successfully updated. Please check the order status again to confirm the changes. For details, please refer to Q&A section.
2. If you press “+” near the “Price” field, 1 price spread would be added on the existing price.
If you press “-” near the “Price” field, 1 price spread would be minus from the existing price.
3. If you input “k” inside the “Qty” field, it represents “000”. For example, to enter (5,000), simply input “5” and then “k”;
4. If you input “m” inside the “Qty” field, it represents “000000”. For example, to enter (5,000,000), simply input “5” and then “m”;



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4.1.4 Cancel Order

- (a) Click “HKEX”, “CN” or “US” on the main window menu to select HK Stocks Market.
- “HKEX” is Hong Kong Stock Market
 - “CN” are SH-HK Stock Connect & SZ-HK Stock Connect
 - “US” is American Stock Market

Portfolio		Today's Order	O/S Order	Trade History	Message/Alert	Market						
HKD Eqv. - Cash Bal.						BOND						
Avail. Cash	8,920,543.43					BOND						
Max. Credit Limit	0.00					CN						
Used Limit	0.00					FUND						
Avail Purchase	8,920,543.43					FUND						
Stock Value (Prev)	1,524,170.51					FUND						
Portfolio (Prev)	10,444,713.94					HKEX						
						HKEX						
						US						

- (b) Click “Today’s Order” to get the order information. All orders would be updated instantly.
- (c) Click “Cancel” to cancel the order.

Portfolio		Today's Order	O/S Order	Trade History	Message/Alert	Market					
Amend/Cancel	Status	Update/Cancel	Order No.	Buy/Sell	Market	Product Code	Type	CCY			
Amend/Cancel	NEW		20600	Buy	HKEX	00001	E	HKD			
Amend/Cancel	NEW		20599	Buy	HKEX	00001	E	HKD			
Amend/Cancel	NEW		20597	Buy	HKEX	00001	E	HKD			
Amend/Cancel	NEW		20596	Buy	HKEX	00001	E	HKD			



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- (d) Double check the order information, click “Confirm” to send the cancel request.

Cancel Order (20599)	
Market:	HKEX
Buy/Sell:	Buy
Order Type:	E - Enhanced Limit
Product:	00001
Price:	73.9
Qty:	500
Status:	NEW
Executed:	0

Confirm Cancel

- (e) If the order cancelled successfully, the order status will change to “CAN”.

Remark:

1. Note that sending the cancel request does not necessarily mean that the order is successfully cancelled. Please check the order status again to confirm the changes. For details, please refer to Q&A section.



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4.1.5 Order Information Enquiry

- (a) Click “HKEX”, “CN” or “US” on the main window menu to select HK Stocks Market.
- “HKEX” is Hong Kong Stock Market
 - “CN” are SH-HK Stock Connect & SZ-HK Stock Connect
 - “US” is American Stock Market

Portfolio		Today's Order	O/S Order	Trade History	Message/Alert	Market					
HKD Eqv. ▾		Cash Bal.									
Avail. Cash	8,920,543.43	Market ▾	Product	Product Name	Qty	Price	CCY	Divide... Class	Market Val. (Ref)		
Max. Credit Limit	0.00	CN	600276	Jiangsu Hengrui Medicine Co Ltd	500	\$11.03	CNY	N.A.	40,545.00		
Used Limit	0.00	FUND	HK0000039773	BOCHK HK Dollar Income A	88,307.5276	\$11.03	HKD	Cash	974,650.18		
Avail Purchase	8,920,543.43	FUND	HK0000061207	Allianz Choice Hong Kong Ord C	2,799.8497	\$27.15	HKD	N.A.	76,015.92		
Stock Value (Prev)	1,524,170.51	FUND	HK0000065166	BEA Hong Kong Dollar Bond R	185.743	\$131.00	HKD	N.A.	24,332.33		
Portfolio (Prev)	10,444,713.94	HKEX	00005	HSBC HOLDINGS	4,000	\$0.00	HKD	N.A.	0.00		
		HKEX	00700	TENCENT	5,000	\$0.00	HKD	N.A.	0.00		
		US	SNE	Sony	1,000	\$51.68	USD	N.A.	51,680.00		

- (b) Click “Today’s Order” to get the order information. All orders would be updated instantly.
- (c) You can sort the orders inside the table according to different criteria. For example: Order No., Market, Product Code, CCY, Price, Qty, O/S Order and Last Update.

Portfolio		Today's Order	O/S Order	Trade History	Message/Alert	Market							
Amend/Cancel	Status	Update/Cancel	Order No.	Buy/Sell	Market	Product Code	Type	CCY	Price	Qty	O/S Order	Executed	Last Update
Amend	Cancel	NEW	20600	Buy	HKEX	00001	E	HKD	73.95	500	500	0	15:04:25
Amend	Cancel	NEW	20599	Buy	HKEX	00001	E	HKD	73.9	500	500	0	15:03:52
Amend	Cancel	NEW	20597	Buy	HKEX	00001	E	HKD	73.65	500	500	0	10:26:43
Amend	Cancel	NEW	20596	Buy	HKEX	00001	E	HKD	73.65	500	500	0	10:26:00



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- (d) To check more details of an order, click the order number, a popup window with order information will be shown.

The screenshot shows a popup window titled "Order Enquiry" with a close button (X) in the top right corner. The window contains the following order information:

Order No :	20600
Status :	NEW - Pending
Buy/Sell :	Buy
Exchange :	HKEX
Stock Code :	00001 CKH HOLDINGS
Order Type :	E - Enhanced Limit
Price :	73.95
Quantity :	500
Remark :	
Executed Qty :	0
Net Amount :	0.00
Input Time :	2019-12-18 15:04:25
Order Expiry Date :	



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4.1.6 Trade Information Enquiry

- (a) Click “HKEX”, “CN” or “US” on the main window menu to select HK Stocks Market.
- “HKEX” is Hong Kong Stock Market
 - “CN” are SH-HK Stock Connect & SZ-HK Stock Connect
 - “US” is American Stock Market

Portfolio		Today's Order	O/S Order	Trade History	Message/Alert	Market						
HKD Eqv. ▾	Cash Bal.					BOND ▾						
Avail. Cash	8,920,543.43	Market ▾	Product	Product Name	Qty	BOND	Exec Price	CCY	Divide...	Market Val. (Ref)		
Max. Credit Limit	0.00	CN	600276	Jiangsu Hengrui Medicine Co Ltd	500	CN	\$11.03	CNY	N.A.	40,545.00		
Used Limit	0.00	FUND	HK0000039773	BOCHK HK Dollar Income A	88,307.5276	FUND	\$27.15	HKD	Cash	974,650.18		
Avail Purchase	8,920,543.43	FUND	HK0000061207	Allianz Choice Hong Kong Ord C	2,799.8497	HKEX	\$131.00	HKD	N.A.	24,332.33		
Stock Value (Prev)	1,524,170.51	FUND	HK0000065166	BEA Hong Kong Dollar Bond R	185.743	US	\$0.00	HKD	N.A.	0.00		
Portfolio (Prev)	10,444,713.94	HKEX	00005	HSBC HOLDINGS	4,000	US	\$0.00	HKD	N.A.	0.00		
		HKEX	00700	TENCENT	5,000		\$51.68	USD	N.A.	51,680.00		
		US	SNE	Sony	1,000							

- (b) Click “Trade History” on the main window menu and all of the completed orders of today will be shown.

Portfolio		Today's Order	O/S Order	Trade History	Message/Alert			
Buy/Sell	↕	Market	↕	Product Code	↕	Product Name	↕	Exec. Price
Buy		HKEX		00001		CKH HOLDINGS		\$100.0000

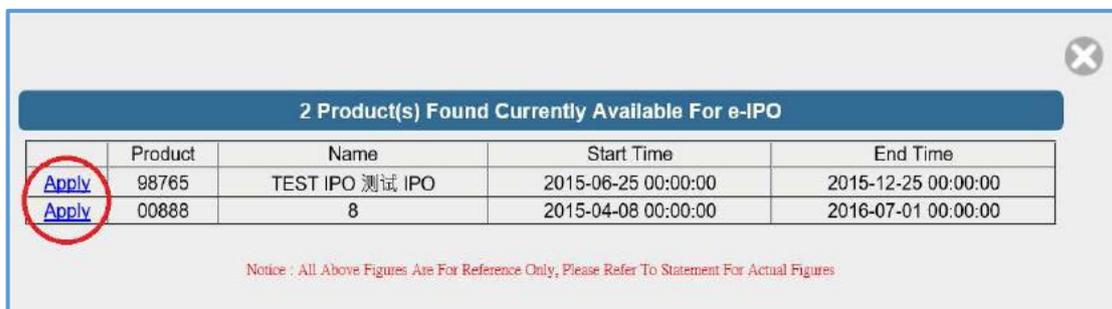
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4.1.7 eIPO

- (a) Click “Others” and then “e-IPO”.



- (b) Click “Apply”.



The screenshot shows a window titled "2 Product(s) Found Currently Available For e-IPO". It contains a table with the following data:

	Product	Name	Start Time	End Time
Apply	98765	TEST IPO 测试 IPO	2015-06-25 00:00:00	2015-12-25 00:00:00
Apply	00888	8	2015-04-08 00:00:00	2016-07-01 00:00:00

Notice : All Above Figures Are For Reference Only, Please Refer To Statement For Actual Figures



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- (c) Select “Apply Qty” from drop down menu. The system will automatically calculate the required amount.
- (d) Click “Apply” and “Confirm” to finish.

Input IPO Subscription (Layout 1)		Quantity / Amount Table	
Product Code	: 09988	Apply Qty	Apply Amount
Name	: ALIBABA GROUP HOLDING LIMITED (Prospectuses)	100	18,989.45
Apply Qty	: 100	200	37,978.90
Apply Amount	: HKD 18,989.45	300	56,968.34
Time	: From 2019-11-15 09:00:00 To 2019-12-31 12:00:00	400	75,957.79
Margin End Time	: 2019-12-31 12:00:00	500	94,947.24
Max. Loan Ratio (%)	: 0%	600	113,936.69
Loan Amount	: HKD 0	700	132,926.13
Interest %	: 0% / 0Day	800	151,915.58
Interest	: HKD 0	900	170,905.03
Handling Fee	: HKD 1,000.00	1,000	189,894.48
<input type="button" value="Apply"/>		1,500	284,841.71
		2,000	379,788.95
		2,500	474,736.19
		3,000	569,683.43
		3,500	664,630.67
		4,000	759,577.90
		4,500	854,525.14
		5,000	949,472.38
		6,000	1,139,366.86
		7,000	1,329,261.33
		8,000	1,519,155.81
		8,000	1,519,155.81

Remark:

1. The IPO can be applied once. If you want to apply it again, please cancel the previous application and make a new application.



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4.1.8 What is the pre-opening session?

4.1.8.1 Hong Kong Securities Market

For more details about the Hong Kong Securities Market, please visit the website: <https://www.hkex.com.hk>)

Trading is conducted on Monday to Friday (excluding public holidays) at the following times:

		Full Day Trading	Half Day Trading
Auction Session	Pre-opening Session	9:00 a.m. - 9:30 a.m.	
Continuous Trading Session	Morning Session	9:00 a.m. - 12:30 noon	
	Extended Morning Session	12:00 noon - 1:00 p.m.	Not applicable
	Afternoon Session	1:00 p.m. - 4:00 p.m.	Not applicable
Auction Session	Closing Auction Session	4:00 p.m. to a random closing between 4:08 p.m. and 4:10 p.m.	12:00 noon to a random closing between 12:08 p.m. and 12:00 p.m.

Note: There is no Extended Morning Session and Afternoon Session on the eves of Christmas, New Year and Lunar New Year. There will be no Extended Morning Session if there is no Morning Session.

“Pre-opening Session”

Orders are accumulated over a certain period of time and matched at a pre-defined order matching period. Orders are matched in order type, price and time priority (at-auction orders carry a higher matching priority), at the final Indicative Equilibrium Price ("IEP").



PC Securities Online Trading System User Manual

“Continuous Trading Session”

Orders are continuously executed in strict price and time priority. An order entered into the system at an earlier time must be executed in full before an order at the same price entered at a later time is executed.

Remarks: The Extended Morning Session is for the trading of Extended Trading Securities which currently include iShares Korea (4362) and iShares Taiwan (4363) only.

“Closing Auction Session”

A closing auction is a commonly used trading mechanism which allows trades to be executed at the closing price. During a closing auction, market participants may input buy and sell orders, with the price that most volume can be traded at forming the closing price. All orders will then be executed at that price.

The closing auction session begins immediately after the continuous session.



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(a) “Pre-opening Session”

The Pre-opening Session is an additional trading session of 30 minutes which takes place before the Morning Trading Session. It allows orders to be entered into the trading system, OTP-C, for a single price auction, and transactions concluded before the commencement of the Morning Trading Session to be reported by Exchange Participants.

The Pre-opening Session consists of “an order input period”, “a pre-order matching period”, “an order matching period” and “a blocking period” as follows:

Order Input Period	From 9:00 a.m. to 9:15 a.m.
Pre-order Matching Period	From 9:15 a.m. to 9:20 a.m.
Order Matching Period	From 9:20 a.m. to 9:28 a.m.
Blocking Period	From 9:28 a.m. to 9:30 a.m.

During the order input period, only at-auction orders and at-auction limit orders are accepted. Orders are accumulated and updated in OTP-C continuously and may be modified or cancelled. Input of pre-open trades is allowed during this period.

During the pre-order matching period, only at-auction orders are accepted and modification or cancellation of orders in OTP-C will not take place. It can avoid significant changes in the Indicative Equilibrium Price (IEP) and adjust the IEP to a fair market price. Input of pre-open trades is allowed during this period.

During the order matching period, no input, modification and cancellation of orders are allowed. Orders will be matched in order type (at-auction order first), price and time priority at the final IEP. No input of pre-open trades is accepted during this period.

During the blocking period, no order and trade activities are allowed. It is a break between the Pre-opening Session and the Morning Trading Session.



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(b) “Continuous Trading Session (CAS)”

CAS would last for about 8 to 10 minutes and consists of a Reference Price fixing period, an order input period, a no-cancellation period and a random closing period as follows:

	Full Day Trading	Half Day Trading*
Reference Price Fixing Period	16:00 – 16:01	12:00 – 12:01
Order Input Period	16:01 – 16:06	12:01 – 12:06
No-Cancellation Period	16:06 – 16:08	12:06 – 12:08
Random Closing Period	16:08 – 16:10	12:08 – 12:10

* Eves of Christmas, New Year and Lunar New Year

In the “Reference Price Fixing Period”, a Reference Price, which sets the allowable price limit of CAS (± 5 per cent from the Reference Price), is calculated for each CAS Security.

In the “Order Input Period”, at-auction orders and at-auction limit orders within the ± 5 per cent price limit could be entered, amended or cancelled on CAS Securities.

Starting from the “No-Cancellation Period”, at-auction orders and at-auction limit orders could be entered. However, the prices of new at-auction limit orders must be between the lowest ask and highest bid of the order book, and no orders could be amended or cancelled.

In the “Random Closing Period”, the order rules from the No-Cancellation period apply and the market closes randomly within two minutes. In cases where final IEP cannot be established during the CAS, the Reference Price will be treated as the final IEP for order matching and will become the closing price of the CAS security. Order matching is based on order type, price and then time priority (at-auction orders carry a higher matching priority).



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CAS will be applicable to all equities (including depositary receipts, investment companies, preference shares and stapled securities) and funds (including ETFs and REITs).

(c) “Volatility Control Mechanism (VCM)”

HKEX has adopted a dynamic price limit VCM model for the securities and derivatives markets, which would trigger a cooling-off period in case of abrupt price volatility detected at the instrument level.

- The VCM is only applicable for board lot order input during the Continuous Trading Session (CTS), but not for any orders input during the Pre-opening Session (POS) and the CAS.
- During the CTS, the potential trade price of a VCM security will be continuously checked against a dynamic price limit of $\pm 10\%$ based upon the reference price ($\pm 5\%$, for the derivatives market) which is the last traded price 5 minutes ago.
- The VCM is triggered if a stock is $\pm 10\%$ away (or if a futures contract is $\pm 5\%$ away) from the last traded price 5-min ago; A 5-min cooling-off period will start.
- For each VCM instrument, there will be a maximum of one VCM trigger in each trading session (Morning Session and Afternoon Session are counted as two separate trading sessions).
- Normal trading without restriction will resume on the VCM-triggered instrument after the cooling-off period. There will not be any VCM monitoring on the VCM-triggered instrument within the same trading session.



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4.1.8.2 Stock Connect (Northbound)

Trading is conducted on Monday to Friday (excluding non-Northbound Trading days) at the following times:

	SSE/SZSE Trading Hours	Time for Eps to input Northbound orders
Opening Call Auction	9:15 a.m. – 9:25 a.m.	9:10 a.m. – 11:30 a.m.
Continuous Auction (Morning)	9:30 a.m. – 11:30 a.m.	
Continuous Auction (Afternoon)	1:00 p.m. – 2:57 p.m.	12:55 p.m. – 3:00 p.m.
Closing Call Auction	2:57 p.m. – 3:00 p.m.	

Time Period	Remarks
9:20 a.m.– 9:25 a.m	SSE/SZSE will not accept order cancellation
2:57 p.m.– 3:00 p.m	SSE/SZSE will not accept order cancellation.
9:10 a.m. – 9:15 a.m.; 9:25 a.m.– 9:30 a.m.; 12:55 p.m.– 1:00 p.m	Orders and order cancellations can be accepted by SEHK but will not be processed by SSE/SZSE until SSE's and SZSE's market open.

Orders that are not executed during the opening call auction session will automatically enter the continuous auction session.



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4.1.8.3 American Securities Market

Trading (US time) is conducted on Monday to Friday (excluding US holidays) at the following times:

US trading hours	HK trading hours (US Winter Time)	HK trading hours (US Summer Time)
09:30 - 16:00	22:30 - 05:00	21:30 - 04:00

Remarks: For the American market holiday schedule, please refer to our website:

<https://www.pcsec.com.hk>.



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4.1.9 Order Types

Our trading system currently supports all order types of HKEx:

Order Types

“Limit Order” - L

A limit order will allow matching only at the specified price. The sell order input price cannot be made at a price below the best bid price, if available whereas the buy order input price cannot be made at a price above the best ask price, if available.

Any outstanding limit order will be put in the price queue of the input price.

“Enhanced Limit Order” - E

An enhanced limit order will allow matching of up to 10 price queues (i.e. the best price queue and up to the 10th queue at 9 spreads away) at a time provided that the traded price is not worse than the input price. The sell order input price cannot be made at a price of 10 spreads (or more) below the current bid price whereas the buy order input price cannot be made at a price of 10 spreads (or more) above the current ask price.

Any outstanding enhanced limit order will be treated as a limit order and put in the price queue of the input price.

“Special Limit Order” - S

A special limit order will allow matching of up to 10 price queues (i.e. the best price queue and up to the 10th queue at 9 spreads away) at a time provided that the traded price is not worse than the input price. A special limit order has no restriction on the input price as long as the order input price is at or below the best bid price for a sell order or at or above the best ask price for a buy order.

Any outstanding special limit order will be cancelled and will not be stored in the OTP-C.



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“At-auction Limit Order” - I

An at-auction limit order is an order with a specified price. An at-auction limit order with a specified price at or more competitive than the final IEP (in case of buying, the specified price is equal to or higher than the final IEP, or in case of selling, the specified price is equal to or lower than the final IEP) may be matched at the final IEP subject to availability of eligible matching order on the opposite side. An at-auction limit order will be matched in price and time priority at the final IEP. No at-auction limit order will be matched at a price worse than the final IEP. If an IEP cannot be determined during the Closing Auction Session, the Reference Price will serve as the price for matching. The matching of applicable at-auction orders and at-auction limit orders will occur at the Reference Price instead and the matching mechanism will be same as matching at the IEP.

Any outstanding at-auction limit orders at the end of the Pre-opening Session will be carried forward to the Continuous Trading Session and treated as limit orders provided that the specified price of that at-auction limit order does not deviate 9 times or more from the nominal price. Such orders will be put in the price queue of the input price.

“At-auction Order” - A

An at-auction order is an order with no specified price and is entered into the OTP-C for execution at the final Indicative Equilibrium Price (IEP). It enjoys a higher order matching priority than an at-auction limit order and will be matched in time priority at the final IEP.

Any outstanding at-auction orders after the end of the Pre-opening Session will be cancelled before the commencement of the Continuous Trading Session.

Remarks:

1. The order price input into the OTP-C cannot deviate 9 times or more from the previous closing price or the nominal price (as the case may be), if available.
2. Subject to quotation rules (except those exempted); and
3. The maximum order size for automatch stocks is 3,000 board lots.

For more details, please refer to the “order types” of HKEx as below link:

<https://www.hkex.com.hk>



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4.2 Fund Trading

4.2.1 Order (Buy)

- (a) Click "(Fund) Order Input" on the main window menu.
- (b) Select "Order Type" and click "Buy".
- (c) Select "Fund" by:
 - i) Select "Fund House" to screen "Fund"; or
 - ii) Select "Fund" by selecting "Fund Code" or "Fund Name".
- (d) You can read the fund details on the product information zone.
- (e) Enter the amount in "Subscription Amount".
- (f) "Net Amount" = "Subscription Amount" + "Subscription Fee".
- (g) Select "Dividends" (if applicable).
- (h) Click "Place Order" to place the order or "Clear" to reset.

The screenshot displays the 'Fund Order Input' form on the left and the 'Allianz Choice Hong Kong Ord C Key Facts' on the right. The 'Order Type' dropdown is highlighted with a red circle and set to 'Buy'. The 'Fund Code' is 'HK0000061207' and the 'Fund Name' is 'Allianz Choice Hong Kong ...'. The 'Subscription Amount' is '8000' and the 'Net Amount' is '8080.00'. The 'Order' and 'Clear' buttons are visible at the bottom of the form.

Allianz Choice Hong Kong Ord C Key Facts

Currency	Price	Price Date	Change	Yield
HKD	27.09	2020-03-19	-1.98	0

Launch Date	2004-02-19	Product Risk	4
Asset Class	Equity	Type	Accumulation
Sector	Equity - Hong Kong	Pricing Frequency	Daily
Product Rating	★	Fund Size	4,583,810,000
Domicile	Hong Kong	Citicode(FE)	E996
ISIN	HK0000061207	Investment Style	Growth

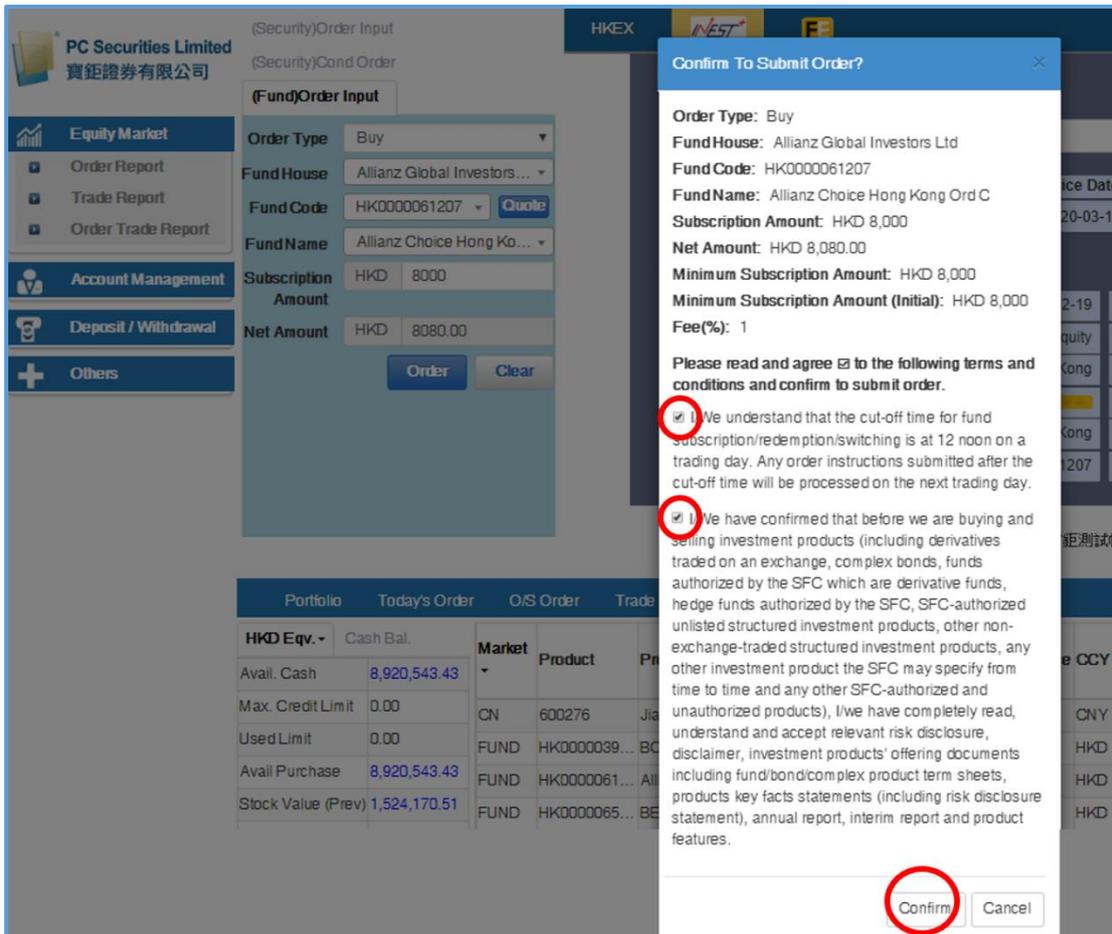
Powered by Investplus

Market: BOND

Market	Product	Product Name	Qty	Ref Price	CCY	Divide... Class	Market Val. (Ref)	Market Val. (Ref)
CN	600276	Jiangsu Hengrui Medicine Co Ltd	500	\$81.09	CNY	N.A.	40,545.00	45,499.60
FUND	HK0000039773	BOCHK HK Dollar Income A	88,307.5276	\$11.03	HKD	Cash	974,650.18	974,650.18

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- (i) After clicking "Order", the system will show the details of "Submit Order". Please read the terms of the transaction carefully and tick "✓" in the boxes "☐", it means you understand all the terms and click "OK".



The screenshot shows the 'Confirm To Submit Order?' dialog box with the following details:

- Order Type: Buy
- Fund House: Allianz Global Investors Ltd
- Fund Code: HK000061207
- Fund Name: Allianz Choice Hong Kong Ord C
- Subscription Amount: HKD 8,000
- Net Amount: HKD 8,080.00
- Minimum Subscription Amount: HKD 8,000
- Minimum Subscription Amount (Initial): HKD 8,000
- Fee(%): 1

Below the details, there are two terms to be accepted, each with a checked checkbox (indicated by a red circle in the original image):

- I understand that the cut-off time for fund subscription/redemption/switching is at 12 noon on a trading day. Any order instructions submitted after the cut-off time will be processed on the next trading day.
- I have confirmed that before we are buying and selling investment products (including derivatives traded on an exchange, complex bonds, funds authorized by the SFC which are derivative funds, hedge funds authorized by the SFC, SFC-authorized unlisted structured investment products, other non-exchange-traded structured investment products, any other investment product the SFC may specify from time to time and any other SFC-authorized and unauthorized products), I/we have completely read, understand and accept relevant risk disclosure, disclaimer, investment products' offering documents including fund/bond/complex product term sheets, products key facts statements (including risk disclosure statement), annual report, interim report and product features.

At the bottom of the dialog box, the 'Confirm' button is circled in red.

Remarks:

1. You can change and cancel all new orders when placing orders on or before deadline (12:00 noon every trading day).
2. After the deadline of ordering (12 noon every trading day), the new orders will be sent to the relevant fund house at the same day. The new orders cannot be cancelled.
3. If you place order after the deadline (12 noon every trading day), the new orders will be processed on the next trading day.



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4.2.2 Order (Sell)

- Click "(Fund) Order Input" on the main window menu.
- Select "Order Type" and click "Sell".
- Select "Fund" by selecting "Fund Code" or "Fund Name".
- You can read the fund details on the product information zone.
- Enter the amount in "Subscription Amount".
- Click "Place Order" to place the order or "Clear" to reset.

The screenshot displays the PC Securities Online Trading System interface. The main window is titled "(Fund) Order Input". The "Order Type" dropdown menu is set to "Sell", which is circled in red. The "Fund Code" is "HK000000776" and the "Fund Name" is "BOCHK HK Dollar Income A". The "Qty" is entered as "8000". There are "Order" and "Clear" buttons at the bottom of the form.

On the right side, the "Fund Code" section shows a search bar and a table of fund details:

Currency	Price	Price Date	Change	Yield
HKD	11.0404	2020-03-18	-0.34	0

Below this is the "BOCHK HK Dollar Income A Key Facts" section:

Launch Date	2002-07-12	Product Risk	2
Asset Class	Fixed Interest	Type	Income
Sector	Fixed Interest - HKD	Pricing Frequency	Daily
Product Rating	★★★★	Fund Size	12,821,080,000
Domicile	Hong Kong	Citicod(FE)	K775
ISIN	HK0000039773	Investment Style	None

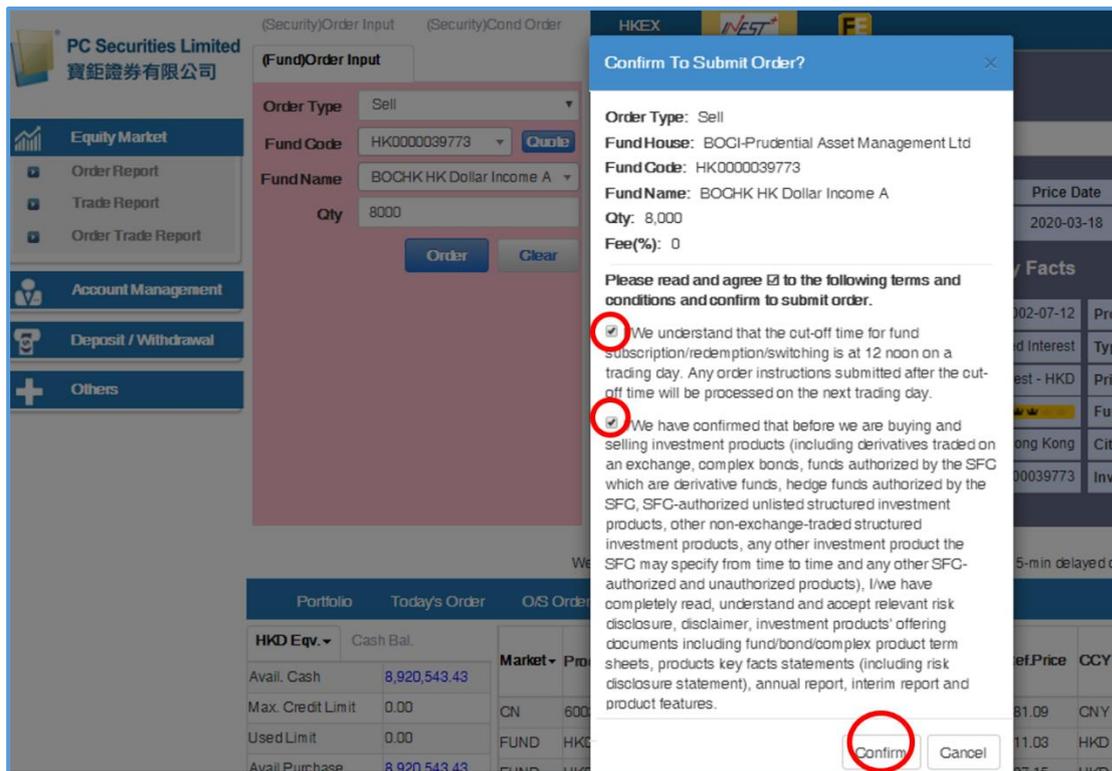
At the bottom, there is a navigation bar with tabs: Portfolio, Today's Order, OS Order, Trade History, Message/Alert, and Market (BOND). Below the navigation bar is a table showing account balances and a list of orders:

Market	Product	Product Name	Qty	RefPrice	CCY	Divide... Class	Market Val. (Ref)	Market Val. (Ref)
CN	600276	Jiangsu Hengrui Medicine Co Ltd	500	\$81.09	CNY	N.A.	40,545.00	45,499.60



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- (g) After clicking "Order", the system will show the details of "Submit Order". Please read the terms of the transaction carefully and tick "✓" in the boxes "☐", it means you understand all the terms and click "OK".



Remarks:

1. You can change and cancel all new orders when placing orders on or before deadline (12:00 noon every trading day).
2. After the deadline of ordering (12 noon every trading day), the new orders will be sent to the relevant fund house at the same day. The new orders cannot be cancelled.
3. If you place order after the deadline (12 noon every trading day), the new orders will be processed on the next trading day.



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4.2.3 Order (Switch)

- (a) Click "(Fund) Order Input" on the main window menu.
- (b) Select "Order Type" and click "Switch".
- (c) Select "Fund" by selecting "Fund Code" or "Fund Name" in "Sell" part.
- (d) You can read the fund details on the product information zone.
- (e) Enter the amount in "Subscription Amount".
- (f) Select "Fund" in "Buy" part by:
 - i) Select "Fund House" to screen "Fund"; or
 - ii) Select "Fund" by selecting "Fund Code" or "Fund Name".
- (g) Select "Dividends" (if applicable).
- (h) Click "Place Order" to place the order or "Clear" to reset.

The screenshot displays the 'Fund Order Input' interface. The 'Order Type' dropdown menu is highlighted with a red circle and set to 'Switch'. The 'Sell' section shows 'Fund Code' as HK0000039773 and 'Fund Name' as BOCHK HK Dollar Income A. The 'Buy' section shows 'Fund House' as Allianz Global Investors Ltd, 'Fund Code' as HK0000061207, and 'Fund Name' as Allianz Choice Hong Kong ... The 'Currency' is set to HKD. The 'Order' and 'Clear' buttons are visible at the bottom of the form.

The 'Fund Code' section on the right shows a search bar and a table with the following data:

Currency	Price	Price Date	Change	Yield
HKD	27.09	2020-03-19	-1.98	0

Below the table, the 'Allianz Choice Hong Kong Ord C Key Facts' are listed:

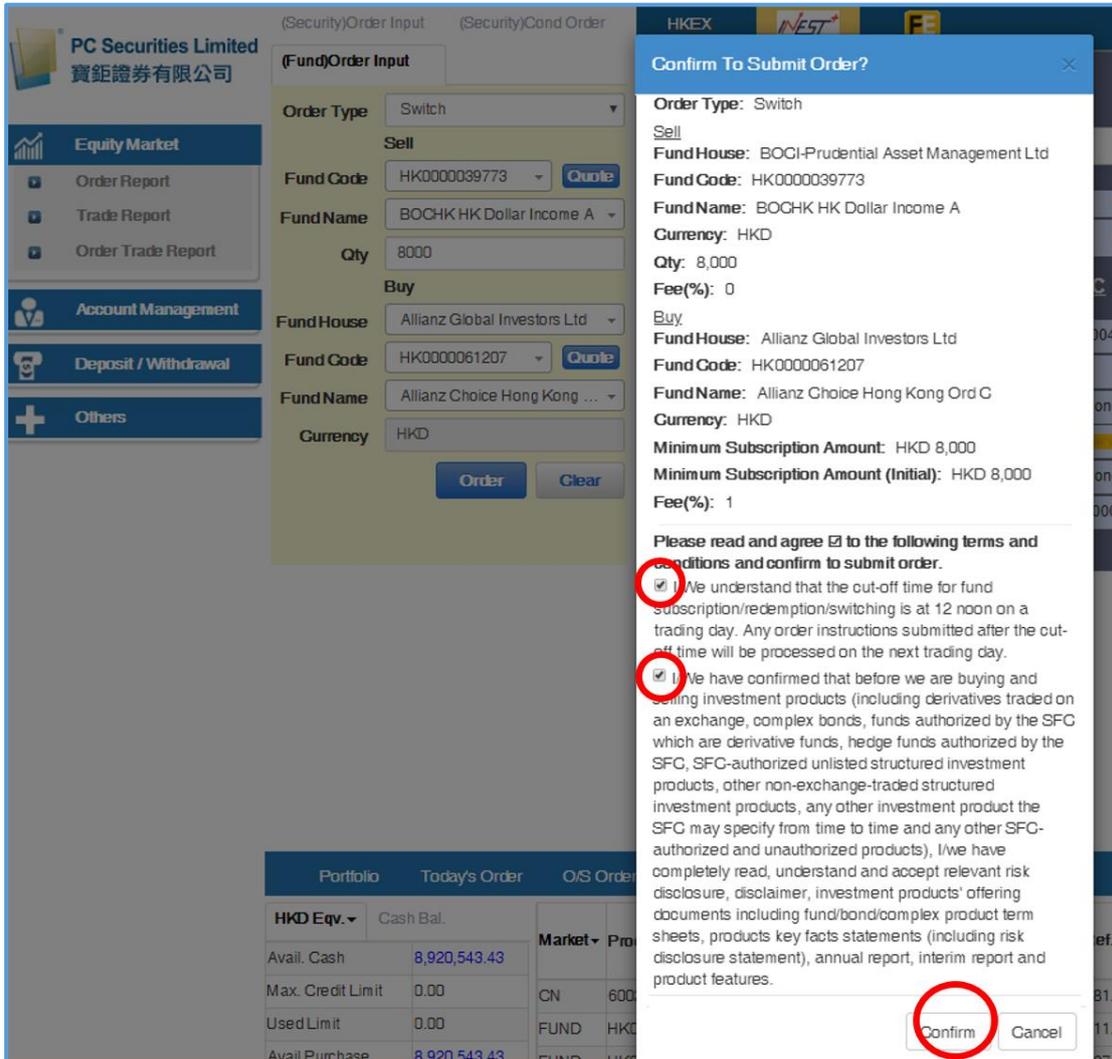
Launch Date	2004-02-19	Product Risk	4
Asset Class	Equity	Type	Accumulation
Sector	Equity - Hong Kong	Pricing Frequency	Daily
Product Rating	★	Fund Size	4,583,810,000
Domicile	Hong Kong	Citricode(FE)	E996
ISIN	HK0000061207	Investment Style	Growth

At the bottom, there is a navigation bar with 'Portfolio', 'Today's Order', 'O/S Order', 'Trade History', 'Message/Alert', and 'Market' (set to BOND). Below this is a table showing account balances and order details:

Market	Product	Product Name	Qty	Ref Price	CCY	Divide...	Market Val. (Ref)	Market Val. (Ref)
CN	600276	Jiangsu Hengrui Medicine Co Ltd	500	\$81.09	CNY	N.A.	40,545.00	45,499.60
FUND	HK0000039773_BOCHK	HK Dollar Income A	88,307,5276	\$11.03	HKD	Cash	974,650.18	974,650.18

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- (i) After clicking "Order", the system will show the details of "Submit Order". Please read the terms of the transaction carefully and tick "✓" in the boxes "☐", it means you understand all the terms and click "OK".



The screenshot displays the 'Confirm To Submit Order?' dialog box with the following details:

Order Type	Fund House	Fund Code	Fund Name	Currency	Qty	Fee(%)
Sell	BOCI-Prudential Asset Management Ltd	HK0000039773	BOCHK HK Dollar Income A	HKD	8,000	0
Buy	Allianz Global Investors Ltd	HK0000061207	Allianz Choice Hong Kong Ord G	HKD	8,000	1

Minimum Subscription Amount: HKD 8,000
 Minimum Subscription Amount (Initial): HKD 8,000

Please read and agree to the following terms and conditions and confirm to submit order.

I understand that the cut-off time for fund subscription/redemption/switching is at 12 noon on a trading day. Any order instructions submitted after the cut-off time will be processed on the next trading day.

I have confirmed that before we are buying and selling investment products (including derivatives traded on an exchange, complex bonds, funds authorized by the SFC which are derivative funds, hedge funds authorized by the SFC, SFC-authorized unlisted structured investment products, other non-exchange-traded structured investment products, any other investment product the SFC may specify from time to time and any other SFC-authorized and unauthorized products), I/we have completely read, understand and accept relevant risk disclosure, disclaimer, investment products' offering documents including fund/bond/complex product term sheets, products key facts statements (including risk disclosure statement), annual report, interim report and product features.

Buttons: Confirm, Cancel

Remarks:

1. You can change and cancel all new orders when placing orders on or before deadline (12:00 noon every trading day).
2. After the deadline of ordering (12 noon every trading day), the new orders will be sent to the relevant fund house at the same day. The new orders cannot be cancelled.
3. If you place order after the deadline (12 noon every trading day), the new orders will be processed on the next trading day.



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4.2.4 Amend Order

(a) Click "FUND" on the main window menu to select Funds Market.

Portfolio		Today's Order	O/S Order	Trade History	Message/Alert	Market	FUND	BOND	CN	FUND	HKEX	US	Ref Price	CCY	Divide...	Class	Market Val. (Ref)	
HKD Eqv. ▾	Cash Bal.																	
Avail. Cash	8,920,543.43																	
Max. Credit Limit	0.00																	
Used Limit	0.00																	
Avail Purchase	8,920,543.43																	
Stock Value (Prev)	1,524,170.51																	
Portfolio (Prev)	10,444,713.94																	

(b) Click "Today's Order" to get the fund order information. All fund orders would be updated instantly.

(c) Click "Amend" to update an order.

Portfolio	Today's Order	O/S Order	Trade History	Message/Alert	
Amend/Cancel	Status	Order No.	Buy/Sell	Fund Code	
Amend	Cancel	NEW	GS00000315	Buy	HK0000039773
Amend	Cancel	NEW	GS00000314	Buy	HK0000039773
		PRO	GS00000313	Buy	HK0000039773
		PRO	GS00000312	Buy	HK0000039773
		PRO	GS00000311	Buy	HK0000065166
		PRO	GS00000310	Buy	HK0000065166



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- (d) Enter the new Order Amount and New Dividend Class, then click "Confirm" to proceed.

Update Order ✕

Order Type: Buy

Fund House: BOCI-Prudential Asset Mgmt. Ltd

Fund Code: HK0000039773

Fund Name: BOCHK Hong Kong Dollar Income Fund A

Order Amount:

Dividend Class:

Fee(%): 1



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- (d) Double check the order information, click “Confirm” to send the cancel request.

The screenshot shows a 'Cancel Order' dialog box with the following information:

Order Type:	Buy
Fund House:	BOCI-Prudential Asset Mgmt. Ltd
Fund Code:	HK0000039773
Fund Name:	BOCHK Hong Kong Dollar Income Fund A
Order Amount:	10000
Dividend Class:	Cash
Fee(%):	1

At the bottom right of the dialog box, there are two buttons: 'Confirm' and 'Cancel'.

- (e) If the order cancelled successfully, the order status will change to “CAN”.



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4.2.6 Order Information Enquiry

(a) Click "FUND" on the main window menu to select Fund Market.

Portfolio		Today's Order	O/S Order	Trade History	Message/Alert	Market	FUND						
HKD Eqv.▼	Cash Bal.						BOND CN FUND HKEX US						
Avail. Cash	8,920,543.43	Market▼	Product	Product Name	Qty	Unit Price	CCY	Divide... Class	Market Val. (Ref)				
Max. Credit Limit	0.00	CN	600276	Jiangsu Hengrui Medicine Co Ltd	500	801.09	CNY	N.A.	40,545.00				
Used Limit	0.00	FUND	HK0000039773	BOCHK HK Dollar Income A	88,307.5276	\$11.03	HKD	Cash	974,650.18				
Avail Purchase	8,920,543.43	FUND	HK0000061207	Allianz Choice Hong Kong Ord C	2,799.8497	\$27.15	HKD	N.A.	76,015.92				
Stock Value (Prev)	1,524,170.51	FUND	HK0000065166	BEA Hong Kong Dollar Bond R	185.743	\$131.00	HKD	N.A.	24,332.33				
Portfolio (Prev)	10,444,713.94	HKEX	00005	HSBC HOLDINGS	4,000	\$0.00	HKD	N.A.	0.00				
		HKEX	00700	TENCENT	5,000	\$0.00	HKD	N.A.	0.00				
		US	SNE	Sony	1,000	\$51.68	USD	N.A.	51,680.00				

(b) Click "Today's Order" to get the fund order information. All fund orders would be updated instantly.

(c) You can sort the orders inside the table according to different criteria. For example: Order No., Bu/Sell, Fund Code, Product Name Currency, Amount, QTY, Fee and Last Update.

Portfolio		Today's Order	O/S Order	Trade History	Message/Alert	Market	FUND						
Amend/Cancel	Status	Order No.	Buy/Sell	Fund Code	Product Name	Currency	Amount	Qty	Fee (%)	Last Update			
Amend	Cancel	NEW	GS00000315	Buy	HK0000039773	BOCHK Hong Kong Dollar Income Fund A	HKD	\$50,000	0	①			
Amend	Cancel	NEW	GS00000314	Buy	HK0000039773	BOCHK Hong Kong Dollar Income Fund A	HKD	\$10,000	0	①			
Amend	Cancel	PRO	GS00000313	Buy	HK0000039773	BOCHK Hong Kong Dollar Income Fund A	HKD	\$50,000	0	①			
Amend	Cancel	PRO	GS00000312	Buy	HK0000039773	BOCHK Hong Kong Dollar Income Fund A	HKD	\$1,000,000	0	①			
Amend	Cancel	PRO	GS00000311	Buy	HK0000065166	BEA UI Hong Kong Dollar Bond Fund R	HKD	\$8,000	0	①			
Amend	Cancel	PRO	GS00000310	Buy	HK0000065166	BEA UI Hong Kong Dollar Bond Fund R	HKD	\$8,000	0	①			



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- (d) To check more details of the fund orders, click the fund order number, a pop up window with order information will be shown.

The screenshot displays the 'Order Enquiry' pop-up window with the following details:

- Order No.: GS00000315
- Trade Date: -
- Buy/Sell: Buy
- Fund House: BOCI-Prudential Asset Mgmt. Ltd
- Product Name: BOCHK Hong Kong Dollar Income Fund A
- Dividend Class: Cash
- Fund Code: HK0000039773
- Currency: HKD
- Amount: \$50,000
- Fee (%): 1
- Create User: INTERNET
- Create Date & Time: 2019-10-25 14:44:01
- Last Update: 2019-10-25 14:44:01

The background table shows the following fund orders:

Amend/Cancel	Status	Order No.	Buy/Sell	Fund Code	Fund Name	Currency	Amount	Fee (%)	Last Update
Amend Cancel	NEW	GS00000315	Buy	HK0000039773	BOCHK Hong Kong Dollar Income Fund A	HKD	\$50,000	0	①
Amend Cancel	NEW	GS00000312	Buy	HK0000039773	BOCHK Hong Kong Dollar Income Fund A	HKD	\$1,000,000	0	①
Amend Cancel	NEW	GS00000311	Buy	HK0000065166	BEA UI Hong Kong Dollar Bond Fund R Acc	HKD	\$8,000	0	①
Amend Cancel	NEW	GS00000310	Buy	HK0000065166	BEA UI Hong Kong Dollar Bond Fund R Acc	HKD	\$8,000	0	①



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4.2.7 O/S Order

(a) Click “FUND” on the main window menu to select Fund Market.

Portfolio		Today's Order	O/S Order	Trade History	Message/Alert	Market					
HKD Eqv. ▾		Cash Bal.									
Avail. Cash	8,920,543.43										
Max. Credit Limit	0.00										
Used Limit	0.00										
Avail Purchase	8,920,543.43										
Stock Value (Prev)	1,524,170.51										
Portfolio (Prev)	10,444,713.94										
Market	Product	Product Name	Qty	Price	CCY	Divide... Class	Market Val. (Ref)				
CN	600276	Jiangsu Hengrui Medicine Co Ltd	500	101.00	CNY	N.A.	40,545.00				
FUND	HK0000039773	BOCHK HK Dollar Income A	88,307.5276	\$11.03	HKD	Cash	974,650.18				
FUND	HK0000061207	Allianz Choice Hong Kong Ord C	2,799.8497	\$27.15	HKD	N.A.	76,015.92				
FUND	HK0000065166	BEA Hong Kong Dollar Bond R	185.743	\$131.00	HKD	N.A.	24,332.33				
HKEX	00005	HSBC HOLDINGS	4,000	\$0.00	HKD	N.A.	0.00				
HKEX	00700	TENCENT	5,000	\$0.00	HKD	N.A.	0.00				
US	SNE	Sony	1,000	\$51.68	USD	N.A.	51,680.00				

(b) Click “O/S Order” on the main window menu and all of the O/S Fund Order executed trades will be shown.

Portfolio		Today's Order	O/S Order	Trade History	Message/Alert	Market					
Status	Order No.	Buy/Sell	Fund Code	Product Name	Currency	Amount	Qty	Price	Last Update		
PRO	GS00000311	Buy	HK0000065166	BEA UI Hong Kong Dollar Bond Fund R Acc	HKD	\$8,000		\$0.0000	①		
PRO	GS00000310	Buy	HK0000065166	BEA UI Hong Kong Dollar Bond Fund R Acc	HKD	\$8,000		\$0.0000	①		

(c) Click “Trade History” on the main window menu and all of the Completed Orders will be shown.

Portfolio		Today's Order	O/S Order	Trade History	Message/Alert	Market					
Order No.	Buy/Sell	Fund Code	Product Name	Price	Qty	Amount					
GR00000133	Sell	HK0000039773	BOCHK HK Dollar Income A	\$10.9956	8,000						
GS00000356	Buy	HK0000061207	Allianz Choice Hong Kong Ord C	\$34.2100		\$8,080					
GS00000357	Buy	HK0000065166	BEA Hong Kong Dollar Bond R	\$128.8700		\$8,800					
GS00000358	Buy	HK0000039773	BOCHK HK Dollar Income A	\$10.9956		\$12,120					
GW00000218	Switch										



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4.3 Portfolio

Click "Portfolio" on the main window menu and your portfolio information will be shown.

HKD Eqv. Cash Bal.		Market	Product	Product Name	Qty	Ref.Price	CCY	Divide... Class	Market Val. (Ref)
Avail. Cash	8,920,543.43	CN	600276	Jiangsu Hengrui Medicine Co Ltd	500	\$81.09	CNY	N.A.	40,545.00
Max. Credit Limit	0.00	FUND	HK0000039773	BOCHK HK Dollar Income A	88,307.5276	\$11.03	HKD	Cash	974,650.18
Used Limit	0.00	FUND	HK0000061207	Allianz Choice Hong Kong Ord C	2,799.8497	\$27.15	HKD	N.A.	76,015.92
Avail Purchase	8,920,543.43	FUND	HK0000065166	BEA Hong Kong Dollar Bond R	185.743	\$131.00	HKD	N.A.	24,332.33
Stock Value (Prev)	1,524,170.51	HKEX	00005	HSBC HOLDINGS	4,000	\$0.00	HKD	N.A.	0.00
Portfolio (Prev)	10,444,713.94	HKEX	00700	TENCENT	5,000	\$0.00	HKD	N.A.	0.00
		US	SNE	Sony	1,000	\$51.68	USD	N.A.	51,680.00

4.3.1 "Currency" Equivalent (all values are displayed in the selected "Currency" equivalent)

Items	Description
Avail. Cash	The Cash Balance of all currency in client's account (Securities trading only)
Max. Credit Limit	Maximum loan limit
Used Limit	Value of the Outstanding Order / Completed Order x (1 - Mortgage Rate of the Product)
Avail. Limit	"Avail. Cash" + "Max. Credit Limit" + "Used limit"
Stock Value (Prev)	Sum of value of client's account (calculated by market price on last trading day)
Portfolio (Prev)	"Avail. Cash" + "Total Stock Value"

Remarks:

The value of the "currency" is reference currency exchange rate, it is not the real-time currency exchange rate.

4.3.2 Cash Balance

The amount of each currency held in the client's account / (Purchasing Power).

HKD Eqv. Cash Bal.		Market	Product	Product Name	Qty	Ref.Price	CCY	Divide... Class	Market Val. (Ref)	Market Val. (Ref)(HKD)	Market Val. (%)	Margin Value
HKD	8,862,780.00	CN	600276	Jiangsu Hengrui Medicine Co Ltd	500	\$80.68	CNY	N.A.	40,340.00	45,269.55	6.625	0
		FUND	HK0000039773	BOCHK Hong Kong Dollar Inco...	2,234.5678	\$10.98	HKD	Cash	24,543.60	24,543.60	3.592	0
		HKEX	00005	HSBC HOLDINGS	4,000	\$59.20	HKD	N.A.	236,800.00	236,800.00	34.653	165,7...
		HKEX	00700	TENCENT	5,000	\$0.00	HKD	N.A.	0.00	0.00	0.000	0
		US	SNE	Sony	1,000	\$48.23	USD	N.A.	48,230.00	376,724.53	55.130	0



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4.4 Message/Alert

If PC Securities send you a message, a pop up window will appear.

Click “Message/Alert” on the main window menu, you can review all messages that sent by PC Securities.

Portfolio	Today's Order	O/S Order	Trade History	Message/Alert
Input Time ^	Message/Alert			⬆
2015-09-25 15:49:35	Latest Promotion from PC Securities!			

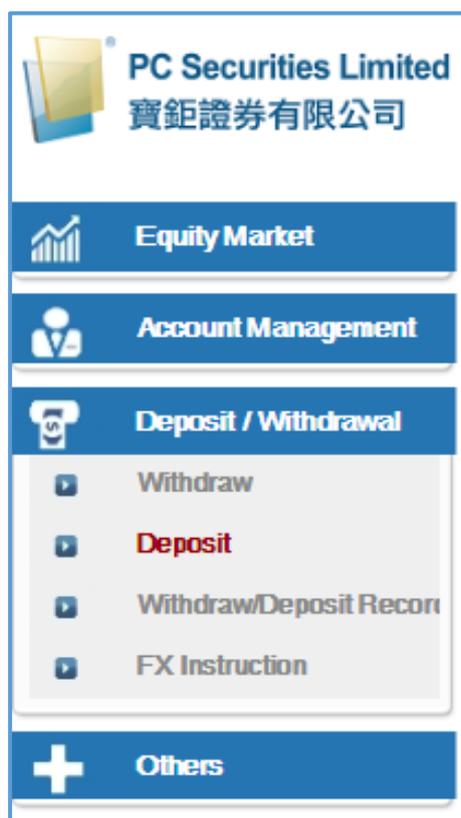
Alert	×
(2015-09-25 15:49:35) Latest Promotion from PC Securities!	

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5 Deposit / Withdrawal

You can deposit / withdrawal cash and currency exchange.

Click “Deposit / Withdrawal” on the main window menu.





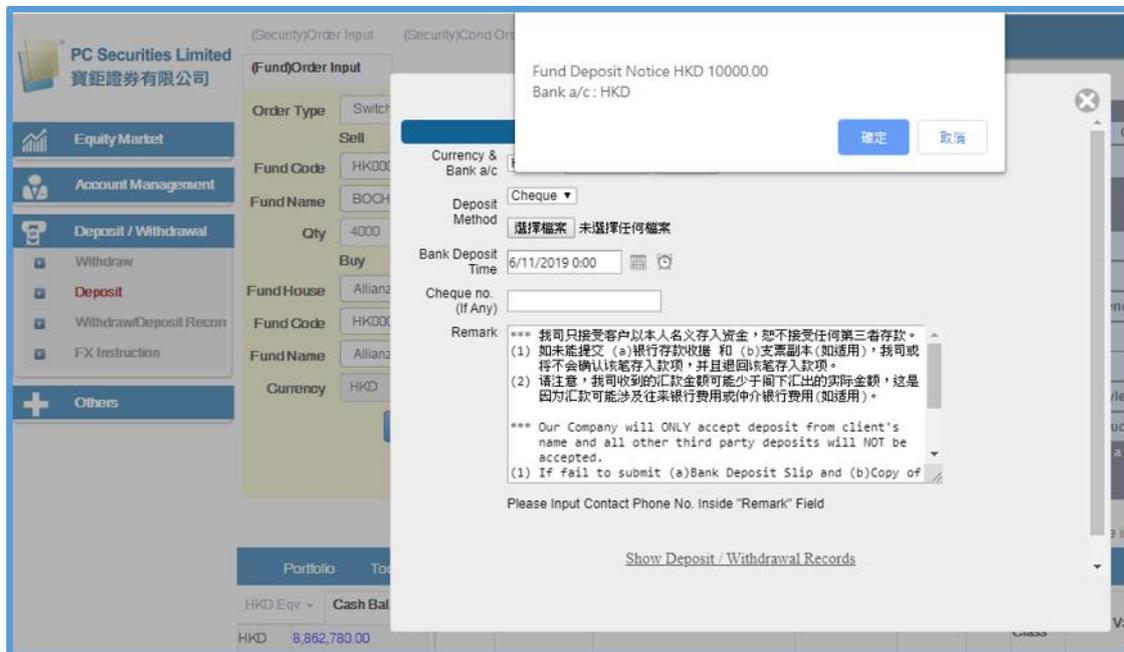
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5.1 Deposit

You can deposit cash in the form of "Bank in" or "Cheque" and upload the record for reference in PDF/JPG/PNG.

- If you deposit cash by "Bank in", please provide bank in date and time.
- If you deposit cash by "Cheque", please provide cheque number.

- Enter necessary amount and select the currency.
- Upload bank slip or cheque soft copy.
- Enter necessary information and click "Send", the system will show you the detail of deposit by pop up window, and click "confirm".



Click "Deposit / Withdrawal Records" to show the deposit / withdrawal details.

Hide Deposit / Withdrawal Records

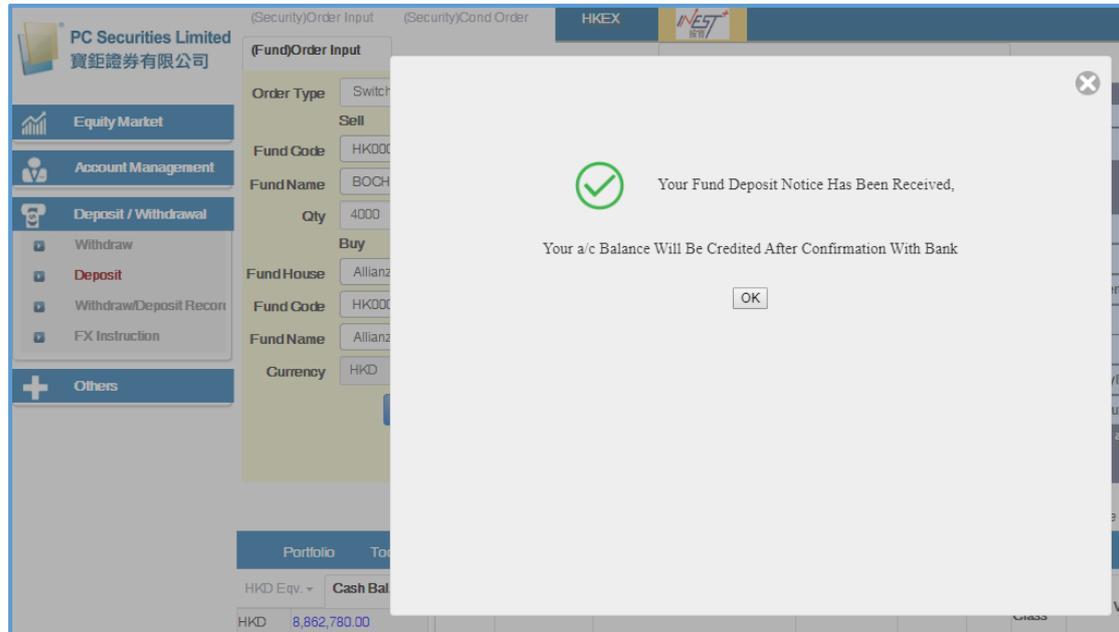
6 Record(s) Found

Currency	Amount	Time
HKD	10,000.00	2019-11-01 17:17:25
HKD	100,000.00	2019-11-01 17:26:19
HKD	100,000.00	2019-11-04 18:06:20
HKD	100,000.00	2019-11-04 18:07:33
HKD	10,000.00	2019-11-04 18:08:39
HKD	10,000.00	2019-11-04 18:20:17



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After confirmed with bank, the deposit will be credited into your account immediately.



Remarks:

Our Company will **ONLY** accept deposit from client's name and all other third party deposits will **NOT** be accepted.

1. If fail to submit (a) Bank Deposit Slip and (b) Copy of Cheque (if applicable), we may **NOT** confirm the deposit and refund the deposit monies.
2. Please note that the remittance amount received by us may be less than your original remittance due to any applicable correspondent or intermediary bank charges (if applicable).

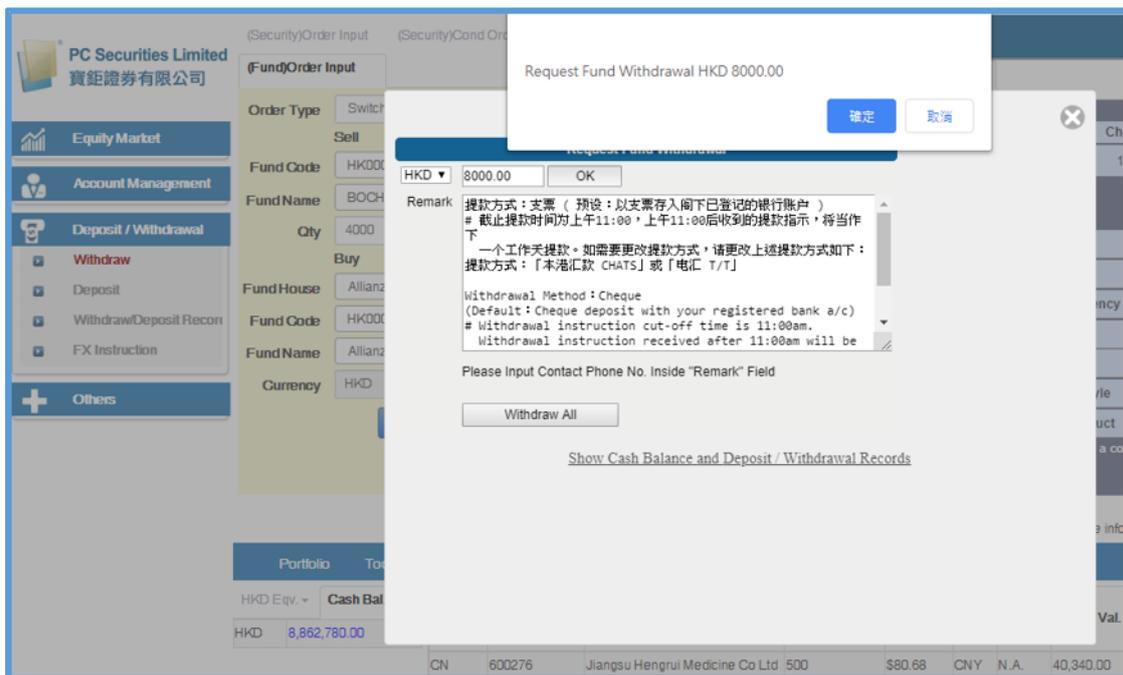


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5.2 Withdrawal

You can withdraw cash in the form of "Cheque" and deposit the cash to your registered bank account.

- (a) Enter necessary amount and select the currency
- (b) Click "OK", the system will show you the detail of deposit by pop up window, and click "confirm".



Remarks:

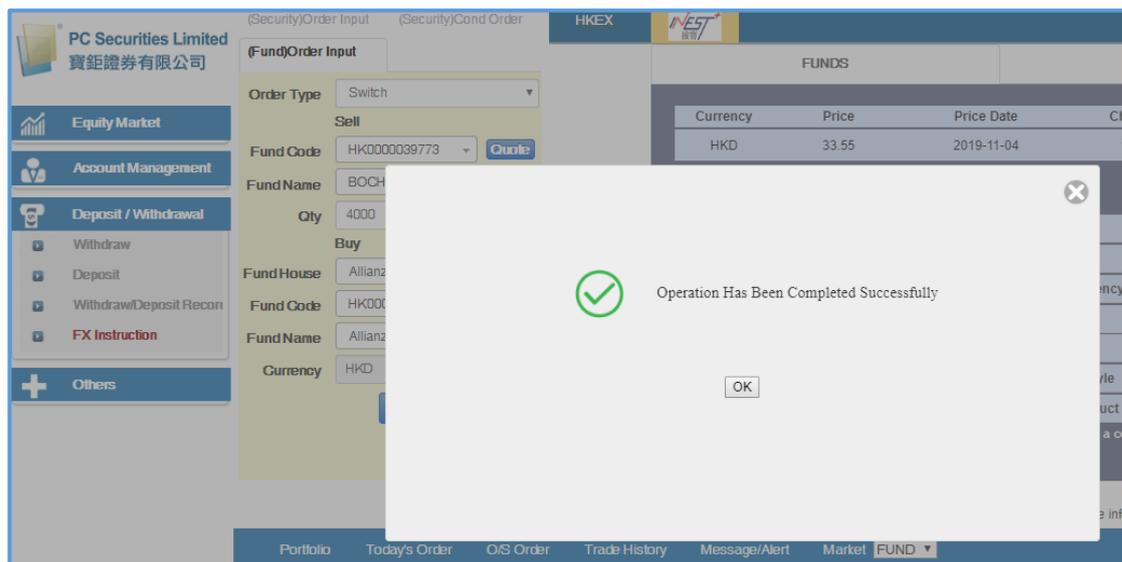
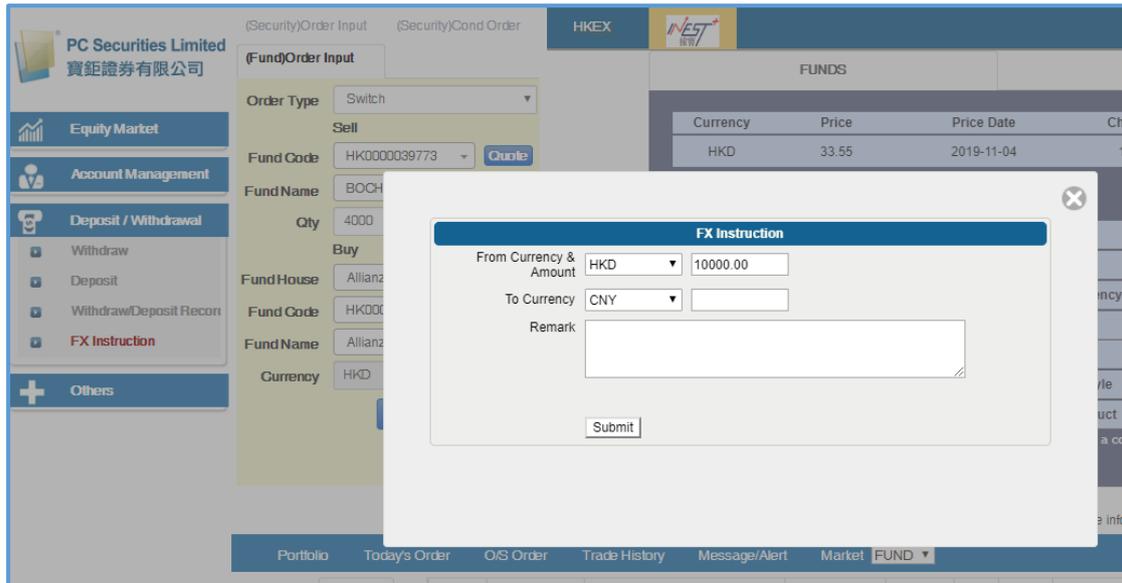
1. Withdrawal Method: Cheque (Default: Cheque deposit with your registered bank a/c) # Withdrawal instruction cut-off time is 11:00am. Withdrawal instruction received after 11:00am will be withdrawn as the next working day.
2. If you need to change the withdrawal method, please enter "CHATS" or "Telegraphic Transfer" in the "Remark" of the system. Please note that transaction fee may be charged.



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5.3 FX Instruction

- Select currency and Enter necessary amount.
- Select the currency you want to exchange it.
- Click “Submit and click “Confirm”.



Remarks:

- The currency rate is reference only. In case of disputes, PC Securities reserves the rights of final decision.



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5.4 Deposit / Withdrawal Record

- Select "Date".
- Click "Run Report" to generate records.
- Click "Export" to export a CSV file.
- If you want to save or print the report, click on the corresponding link.

The screenshot displays the PC Securities Limited online trading system interface. The main window is titled "Deposit/Withdraw History" and shows a date range from 2019-11-06 to 2019-11-06. A dropdown menu is open, showing a list of dates from 2019-11-06 down to 2019-10-28. The date 2019-11-02 is highlighted. The background interface includes a navigation menu on the left with options like "Equity Market", "Account Management", "Deposit / Withdrawal", and "Withdrawal/Deposit Record". The main content area shows a form for "Order Input" with fields for "Fund Code", "Fund Name", "Qty", "Fund House", "Fund Code", "Fund Name", and "Currency". The bottom of the screen shows a table with columns for "CN", "600276", "Jiangsu Hengrui Medicine Co Ltd", "500", "\$80.68", "CNY", "N.A.", and "40,340.00".



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6 Report

There are the reports that available: "Order Report", "Trade Report" and "Order Trade Report".

- (a) Click "Equity Market" and then click on the desired report.



- (b) Select "Date" and choose "Market" and enter "Product Code".
(c) Click "Run Report" to generate records.
(d) Click "Export" to export a CSV file.
(e) If you want to save or print the report, click on the corresponding link.

The screenshot displays the PC Securities Online Trading System interface. On the left is a navigation menu with "Equity Market" selected. The main area shows the "Order Trade Report" dialog box. The dialog box has a blue header and contains the following fields and buttons:

- Date: 2019-10-15 To 2019-10-22
- Product Code: FUND (Leave Blank For All)
- Buttons: Run Report, Export

The background interface shows the "Security Name" section with fields for Market (HKEX - Hong Kong Stock), Buy/Sell, Order Type (E - Enhanced Limit), and Product Code. There are also "Max Qty" and "Max \$." fields and an "Order" button. At the bottom, there is a table with columns: Portfolio, Today's Order, O/S Order, Trade History, Message/Alert, and Market (BOND). The table contains data for HKD Eqv., Cash Bal., Avail. Cash (9,983,880.00), Credit Limit (0.00), and a list of securities including JIANGSU HENGRUI MEDICINE CO LTD and BOCHK HONG KONG DOLLAR INCOME FUND.



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7 Frequently Asked Question (FAQ)

7.1 What is the requirement for using the online trading service?

In order to access our online trading service, the following component will be required.

- ❖ A machine with internet service;
- ❖ The machine is installed a website browser with internet service (Microsoft Internet Explorer (IE) is preferred)

7.2 Why cannot receive the password through email?

Please check the junk-mail or spam mail box. If you still could not find the email, please try SMS message to receive the password.

7.3 Why Trading Information Zone cannot be displayed?

Please try to connect via firewall before login.

7.4 How to display Chinese character in online trading system?

Update "Internet Explorer" to the latest version, remove the browser's browsing history, and then login to the system again.

7.5 Why cannot click to select item?

Update "Internet Explorer" to the latest version, remove the browser's browsing history, and then login to the system again.

7.6 How long will the trading records be kept in the system?

In general, the system will keep the trading records for the past 30 days. (Include Sat, Sun and public holidays).

7.7 What does the order status code mean?

Order Status code	Description
FEX	The order has been filled and completed
CAN	The order has been cancelled
REJ	The order has been rejected
NEW	The order is waiting to be processed
PRO	The order has been submitted to the fund company for processing and cannot be cancelled
ACK	The fund company has confirmed the order and the product has in the settlement process. It cannot be cancelled



PC Securities Limited
寶鉅證券有限公司

PC Securities Online Trading System User Manual

If you have any enquiries about "PC Securities Online Trading System" or "PC Securities Accounts", please contact our Customer Service during office hours. Thank you for supporting PC Securities Limited!

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