

寶鉅證券有限公司

Online Trading System

User Manual



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1 Introduction

This user manual provides a step-by-step instruction on how to use PC Securities Online Trading System User Manual. The objective of designing PC Securities Online Trading System is to assist users to run their trading businesses in a more effective and efficient way with the following user friendly functional modules:

This user manual consists of following chapters:

Chapter	Description
1	Introduction
	Introduction of PC Securities Online Trading System
2	 About PC Securities Online Trading System To provides details information on online trading. The system overview and standards are explained in this
	chapter
3	Getting Started - Login/logout online trading page
4	 Order Processing & Account Management Securities Trading Explain how to use the functions include, place/ amend/ cancel/ check the orders eIPO What is the pre-opening session? Fund Trading Explain how to use the functions include, place/ amend/ cancel/ check the orders
5	Deposit / Withdrawal
6	Report
7	Frequently Asked Question (FAQ)

2 About PC Securities Online Trading System

PC Securities Online Trading System is a trading system with comprehensive functionality which can facilitate efficient and effective workflow in the entire trading logistic by being ready to interface with PC Securities Accounts.





3 Getting Start

<u>3.1 Login</u>

Follow these instructions to log into online trading system:

- (a) Switch on the computer.
- (b) Open web browser.
- (c) Input the URL (<u>http://it.pcsec.com.hk</u>).
- (d) Select language "Traditional Chinese", "Simplified Chinese" or "English".
- (e) Select "normal broadband"; or select "behind firewall" if there is a firewall.
- (f) Enter "Username" & "Password" and click "Login".

PC Securities Limited 寶鉅證券有限公司												
Username :												
Password :												
	Forgot Password											
	English Inormal broadband Inormal broadban											
	Login Reset											



Remarks:

If you forget the password, you can click "Forgot Password" and enter the required information to "Reset Password". "Reset Password" will be sent to you by your registered email address or mobile number via email or SMS.

P	C Securities Limit 寶鉅證券有限公司	ted	
Username :		•	Reset Password - Google Chrome – 🗖 🗙
Password :			Reset Password
	Forgot Password		User Name :
	English 🔻 norma Login Reset		First 6 characters of Identity Document No. (excluding symbols and the contents in bracket) : Registered Email :
			Registered Mobile :

- (g) After Login, you can find the main window, the online trading page is divided into 4 regions.
 - Top Left: Menu Zone
 - Top Middle: Order Zone
 - > Top Right: Product Information Zone
 - Bottom: Trading Information Zone

	PC Securities Limi	(Security)Order I	nput (Security)C	Cond Crder	HKE	X 11/157	1 🖪							Ċ
	寶鉅證券有限公司	(Fund)Order Inpu	t		HK Quot	e SH & SZ Qu	ote 🛛 US Qua	te						More In c
		Security Name			(2		C Today	5 Day	ys 1 Mon	th 6 Months	1 Year	3 Years Ti	me▼
aiil	Equity Market	Market	HKEX - Hong Ko	ong State 🔻	Nominal	(Delay)				Type:Candle	Stick▼		Overlays	▼ Studie: ▼
8	Order Report	Buy/Sell	Please choose	- •								O: 0.0	000 H: 0.000 L: 0.00)0 C: 0.00
	Trade Report		E EnhancedLie	nit 🗸	Bid mela	a 🗛	(Dolay)							
	Order Trade Report	Top Middle	: Order Zon	e		/	(ocidy)	Тор	Right: S	ecurities				
	Account Managemer	Dia			1 Month	High/Low /		Inf	ormatic	on Zone				
		Pide			E2Wook	s High / our /		_						
8	Top Left: 📕	Max Oty		- 9 9	J2 WEEK	s mgn/ cow /								
	Menu Zone	Max S			Open Price Day High	e Lot Si Sprea	ze id /							
		-	Order	Char	DayLow	D/E R	atio	Volum	e: 0.000					
					Prev. Close	9 % Yie	ld							
					Turnover	Mark	et Cap.							
		Portfolio	Today's Order	0/8 0	order Trade	Bottom	: Trading I	nformatic	n Zone					((=))
		HKD Eqv Ca	sh Bal.							Divide.				Market
		Avail. Cash	8,920,543.43	Market +	Product	Product Name		City	Ret.Price	Class	Market Val. (Ref)	Marke	rt val. (Hef)(HKD)	vai. (%)
		Max. Credit Limit	0.00	CN	600276	Jiangsu Hengrui N	edicine Co Ltd	500	\$81.09	CNY N.A.	40,545.00	45,499	9.60	2.985 0
		UsedLimit	0.00	ELIND	ukannan20772		Income A	99 207 5276	\$11.02	HKD Cash	974 650 19	974.65	50.18	62.946

- (h) The main window of the system will be changed in terms of the dimension of the display. If the dimension narrowed, the interface will be arranged in a straight line:
 - Top: Order Zone
 - Middle: Product Information Zone
 - Bottom: Trading Information Zone





(i) Click "Securities (Order Input)" for securities trading.

	PC Securities Limited	(Security)Order I	nput (Security)(Cond Orde	НКЕ	x	Mest F	3									(
	寶鉅證券有限公司	(Fullu)Order Ilipu			HK Quo	te S	H & SZ Quote 🛛 US Qu	ote									More In
		Security Name				Q		13	Today	/ 5 Da	IVS 1	1 Mont	h 61	Months	1 Ye	ar 3 Years	Time
áú	Equity Market	Market	HKEX - Hong Ko	ng Stoc	Nominal	(Delav	ñ		,		Туре	Candle :	Stick▼			Over	lays▼ Studie:
8	Order Report	Buv/Sell	Please choose		-	(Denay)	,								0:	0.000 H: 0.000 L: 0.0	00 C: 0.000
	Trade Report		E - Enhanced Li	mit .													
	Order Trade Report	Order Type	E · Emilanceu En		Bid (Dela	y)	Ask (Delay)										
		Product Code															
Ň9	Account Management	Price		0 (1 Mont	h High/	/Low /										
5	Deposit / Withdrawal	Max Qty		•	52 Week	cs High/	/Low /										
-	Others	Max S			Open Pric	е	Lot Size										
			Orter	Clear	Day High		Spread /		Volum	e: 0.000							
			Order	Glear	Prev. Clos	e	96 Yield										
					Volume		Dividend										
					Turnover		Market Cap.										
					Quote: 28	4					Inform	ation pro	vided b	y MegaHuk	b Limited	Last Updated:	
		Portfolio	Today's Order	0/S (Droter Trade	History	y Message/Alert	Mark	et BOND	•							((=))
		HKD Eqv Cash Bal.		Product	Produc	ctName	Otv		Bef Price	CCY	Divide	Marke	t Val. (Bef)		larket Val. (Bef)(Hk	Market (D) Val	
		Avail. Cash	8,920,543.43		rouset					100		Class					(%)
		Max. Credit Limit	0.00	CN	600276	Jiangs	u Hengrui Medicine Co Lto	500		\$81.09	CNY	N.A.	40,545	5.00	4	15,499.60	2.985
		UsedLimit	0.00	FUND	HK0000039773	BOCH	KHKDollar Income A	88,30	7.5276	\$11.03	HKD	Cash	974,65	50.18	9	974,650.18	63.946

(j) Click "Fund (Order Input)" for fund trading.

	DC Securities Limited	(Security)Orde	r Input (Security)	r HKEX		NEST	EB								
	寶鉅證券有限公司	(Fund)Order I	nput												
		Order Type	Please choose -	-	*		Fund Co	de							
aiil	Equity Market		Order	Clear		. 1	Please cl	ioose						*	Search
	Order Report					- 1	Guerra		Delas	Deias	Dete		Change		Made
	Trade Report					- 1	Currency	1	Price	Price	Date		Change		Yield
	Order Trade Report					- 1		_	0		-		0.00		0
						- 1	Key Fact	s							
V.	Account Management					- 1	Launch Da	0			- Dr	oduct Ris	k		
æ	Deposit / Withdrawal					- 1	Accest Class						<u> </u>		
<u> </u>						- 1	Asset Cids	•			- Iy	Je - Fran			
+	Others					- 1	Sector				- Ph	cing Freq	uency		-
						- 1	Product Ra	ting		N/A	Fu	nd Size			0
						- 1	Domicile				- Cit	icode(FE)			
						- 1	ISIN				Inv	estment	Style		
												F	Powered by	Investp	lus Neg
		Portfolio	Today's Order	0/S (Order Trade	Histor	y Messag	e/Alert	Market BONE) 🔻					
		HKD Eqv	HKD Eqv Cash Bal.									Divide			
		Avail. Cash	8,920,543.43	Market +	Product	Produc	ct Name		Qty	Ret.Price	CCY	Class	Market Val. (Re	I)	Market Val. (Ref)(
		Max. Credit Limit	0.00	CN	600276	Jiangs	u Hengrui Med	cine Co Ltd	500	\$81.09	CNY	N.A.	40,545.00		45,499.60
		Used Limit	0.00	FUND	HK0000039773	BOCH	K HK Dollar Inc	ome A	88,307.5276	\$11.03	HKD	Cash	974,650.18		974,650.18

3.2 Change Password

- (a) To change the password, click "Account Management" on the main window menu, and then click "Change Password".
- (b) Input the old password and new password respectively. Make sure that "New Password" and "Retype Password" are the same.
- (c) Click "Update Login Password" to confirm.
- (d) The new password is updated immediately.

	DO Convertion Limited	(Security)Order Input	(Security)Cond Order	HKEX NEST		Ċ
	育鉅證券有限公司	(Fund)Order Input			\otimes	
-		Security Name		Change User Password		
a i i	Equity Market	Market	User	PAOCHU		
		WICH KEY	Name :	Paochu Testing Account 寶詎測試帳戶		
Ň	Account Management	Buy/Sell	Old Password :			
8	Change Account Info	Order Type	New Password :			
8	Change Password	Product Code	Retype Password :			
8	e-Statement		Password Rule :	Minimum length 6 characters, Maximum length 15 characters		
8	Derivatives terms	Price		Lindate Login Password		
		Max Qty		opulle Login r abbilord		
<u> </u>	Deposit / Withdrawal	Max \$				
+	Others					
<u> </u>						
						Law in the second second
		Portíolio To				
		HKD Eqv Cash Bal.	Maximi - D-	Deschool Manage Obu	Del Drine CC	Dividend Market Val. (Rep. Market Val. (R

3.3 Change Account Info

- (a) To change account info, click "Account Management" on the main window menu, and then click "Change Account Info".
- (b) Set up the "Login ID".
- (c) Tick the box if you do not wish to receive order notification email.
- (d) Click "Update" to confirm and information updated immediately.

	PC Securities Limited	(Security)Order Input	(Security)Cond C	order HKEX	NEST*				Ċ
	寶鉅證券有限公司	Security Name							
á	Equity Market	Mark					8		
	Account Management	Buy/S		Clien	Account				
	Change Account Info	Order Ty	Client A/C : F	PAOCHU Paochu Testing Account 寶鉅測:	式帳戶				
	Change Password e-Statement	Product Co	Login Id :	PAOCHU					
	Derivatives terms	Pri		No Order Notification Email					
ଟ୍ର	Deposit / Withdrawal	Max C	(Update					
+	Others								
		Portfolio To	oday's Order C)/S Order Trade Histo	y Message/Alert	Market BOND V			
		HKD Eqv Cash Bal.	Mark	Rt - Product Produ	tName Otv	Bef Price C	CY Divide	nd Market Val. (Bef)	Market Val. (F

3.4 e-Statement

- (a) Click "Account Management" on the main window menu, and then click "e-Statement".
- (b) Select month(s) you would like to check it.

	PC Securities Limited 實鉅證券有限公司	(Security)Or (Security)Co (Fund)Order	der Input nd Order r Input		HKE	X 1457	FUNDS			BON	IDS	_	Ċ
aii	Equity Market	Order	Please choo)se	Y	Currency	Price	Pri	ce Date	Change		Yield	
	Account Manageme	Туре			_		0			0.00		0	
	Change Account II		Order	Clear							•		
	Change Password		_		_			Select E-Stat	ement				
	e-Statement						Month :	2019-11 🔻	Find			-	
•	Derivatives terms							2019-11					
8	Deposit / Withdrawa						Dallar	2019-09	ement			0	
-	0						Daily :	2019-08	Find				
<u>+</u>	Others							2019-06					
												- d client	
												a cuenc	Ŧ
					Malaa								
					vveico								
		Portfolio	Today's O	irder	O/S Order								0.00
			Ocel: Del										Ma
		Avail Cash	0 062 700 00	Mar	Product							et Val. (Ref)(HKD)	Val.
		Orodit Limit	0,002,700.00	•									(%)
		Credit Limit	0.00	CN	600276							39.55	6.625 (
		Used Limit	0.00	FUND	нкооооо					21,010.00		43.60	3.592 (
		Avail Purchase	8,862,780.00	HKEX	00005	HSBC HOLDINGS	4,0	00 \$59.20	HKD N.A.	236,800.00	236,8	800.00	34

Remarks:

"e-Statement" is only shown the record in 6 months.

3.5 Logout

To log out, click "Other" and press "Logout" button on the menu bar or click on the main window of the right, and then click "Confirm".

		(Security)Order I	nput (Securi	ity)Cond Order			(Desurity)Order In	nput (Decurity)Oc	nd Onlaw		457			O
	PC Securities Limited 窗布跨关右限公司	(Fund)Order Inpu	t '		L	PC Securities Limited 資源證券有限公司	(Fund)Order Input		Logout			×		
	員定磁分百成五句	Soourity Namo				Equity Market	Security Name Market	HHEX - Hong Kong	Contem To Lo	Out?	\sim	-		
and	Equity Market	Market	HKEX - Hong	Kong Stoc 🔻		Account Management	BaySel	- Peace choose -			Confirm	rcel		
			Discossion			Change Pantword	Product Code							
V-	Account Management	Buy/Sell	Please cho	ase choose 🔻		e-Claitement Derivatives lenns	Price		00					
5	Deposit / Withdrawal	Order Type	E - Enhanced	d Limit 🔻	8	Deposit / Withdrawal	Max Ony Max S.		00					
		Product Code			1	others		Order	Clear					
.	Others	Price		00										
	e-IPO													
	Logout	Max Qty					Particle	Today's Order	OS Order	Toole Helory	Message/Aird	Market BONDER		
		Max \$					HOER.+ Cal	h (54				Pur Date	Distand second se	Market Val
			Order	Clear										

4 Order Processing

4.1 Securities Trading

4.1.1 Input Order

(a) Click "(Security) Order Input" on the main window menu.

(Security)Order In	put (Security)Gond Order					
(Fund)Order Input						
Security Name						
Market	HKEX - Hong Kong Stock 🔹					
Buy/Sell	Please choose 🔻					
Order Type	E - Enhanced Limit 🔹					
Product Code						
Price	0					
Max Qty	C					
Max \$						
	Order Clear					

- (b) Input the order information such as Market, Buy/Sell, Oder Type, Product Code, Price and Qty. Click "Order" to place the order or click "Clear" to reset.
- (c) You can also double-click the specified price two times in the real-time quote. The order will be automatically displayed on the main screen. You can input the quantity and press "Order" for order placing. You can also input the stock code in the "Portfolio". The selling order's window will be shown automatically. Enter the price, and click "Order" to complete the placement. The quantity of stock can also be changed accordingly.



(Security)Order Ir	nput (Security)Co	nd Order	(Security)Order	Input	(Security)Cond Order			
(Fund)Order Input			(Fund)Order Inp	ut				
Security Name			Security Name					
Market	HKEX - Hong Kong	Stock 🔻	Marke	HKE	EX - Hong Kor	ng Stock 🔹 🔻		
Buy/Sell	Buy	•	Buy/Sel	Sell		•		
Order Type	E - Enhanced Limit	T	Order Type	E-	E - Enhanced Limit			
Product Code			Product Code					
Price		••	Price					
Max Qty		••	Max Qty			•		
Max \$			Max \$					
	Order	Clear			Order	Clear		

Remark:

- 1. Details of Order Types, please refer to FAQ section.
- 2. If you press "+" near the "Price" field, 1 price spread would be added on the existing price.

If you press "-" near the "Price" field, 1 price spread would be minus from the existing price.

- 3. If you input "k" in the "Qty" field, it represents "000". For example, to enter (5,000), simply input "5" and then "k".
- 4. If you input "m" in the "Qty" field, it represents "000000". For example, to enter (5,000,000), simply input "5" and then "m".
- 5. Odd lot trading (i.e. less than one lot) is not allowed in the online trading system.
- 6. The "Max" function is to calculate the maximum quantity of the product that you can buy.
- 7. The "Max\$" function is to calculate the maximum quantity of the product that you can buy within a designed amount.

4.1.2 Program Trade

PC Securities online trading system supports basic program trading. Click the "(Security) Cond Order" on the main window menu to select the conditional order.

(Security)Order Inp (Fund)Order Input	u (Security)Cond Order	(Fund)Order Input	ut (Security)Cond Order
Send Condition	Send Now 🔻	Send Condition	Send Now V
Trigger Price		Trigger Price	Send Now Send When Nominal Rises At/Above V Send When Nominal Falls At/Below
Stop Price		Stop Price	
Expiry Date		Expiry Date	Bi

Select condition and input the trigger price, the system will then send the order to market at the trigger price.

Send Condition	Details						
Send Now	The order will send to market immediately.						
Send When	For sell order - the order will be kept in the system, when the						
Nominal	nominal rises at or above the trigger price; the order will then						
Rises At/Above	release to market.						
Send When	For buy order - the order will be kept in the system, when the						
Nominal	nominal falls at or below the trigger price; the order will then						
Falls At/Below	release to market.						

4.1.3 Update Order

- (a) Click "HKEX", "CN" or "US" on the main window menu to select HK Stocks Market.
 - "HKEX" is Hong Kong Stock Market
 - "CN" are SH-HK Stock Connect & SZ-HK Stock Connect
 - "US" is American Stock Market

Portfoli	o Today's Order	0/S (Drder Trade	History Message/Alert	Market	BOND	•			
HKD Eqv Cash Bal.		Market -	Product	Product Name	Otv	GN	efFrice	CCY	Divide	Market Val. (Ref)
Avail. Cash	8,920,543.43		1 IOUUCI	Constraint and the Constraint of the Constraint		HKEX			Class	
Max. Credit Lim	nit 0.00	CN	600276	Jiangsu Hengrui Medicine Co Ltd	500	US	wo1.09	CNY	N.A.	40,545.00
Used Limit	0.00	FUND HK0000039773 BOCHK HK Dollar Income A 88,307.527		5276	\$11.03	HKD	Cash	974,650.18		
Avail Purchase	8,920,543.43	FUND	HK0000061207	Allianz Choice Hong Kong Ord C	2,799.84	497	\$27.15	HKD	N.A.	76,015.92
Stock Value (P	rev) 1,524,170.51	FUND	HK0000065166	BEA Hong Kong Dollar Bond R	185.743	85.743 \$131.00		HKD	N.A.	24,332.33
Portfolio (Prev)	10,444,713.94	HKEX	00005	HSBC HOLDINGS	4,000		\$0.00	HKD	N.A.	0.00
		HKEX	00700	TENCENT	5,000		\$0.00	HKD	N.A.	0.00
		US	SNE	Sony	1,000		\$51.68	USD	N.A.	51,680.00

- (b) Click "Today's Order" to get the order information. All orders would be updated instantly.
- (c) Click "Amend" to update an order.

	Portfoli	о То	day's Order	O/S Order	Trade His	story N	vlessage/Al	ert Market	HKEX	•
me	d/Cance	elStatus	Update/Cancel	Order No.	^	Buy/Sell	Market \$	Product Code	Туре	ссү≎
Amend	Cance	NEW		20600		Buy	HKEX	00001	E	HKD
Amend	Cance	NEW		20599		Buy	HKEX	00001	E	HKD
Amend	Cance	NEW		20597		Buy	HKEX	00001	E	HKD
Amend	Cance	NEW		20596		Buy	HKEX	00001	E	HKD
V										



(d) Input the new price or new quantity, then click "Confirm" to send the update request.

Update Or	der (20600)
Market: Buy/Sell: Order Type: Product: Price: Qty:	HKEX Buy E - Enhanced Limit 00001 73.95 500
	Confirm Cancel

Remark:

- 1. Note that sending the update request does not necessarily mean that the order is successfully updated. Please check the order status again to confirm the changes. For details, please refer to Q&A section.
- If you press "+" near the "Price" field, 1 price spread would be added on the existing price.
 If you press "-" near the "Price" field, 1 price spread would be minus from the existing price.
- 3. If you input "k" inside the "Qty" field, it represents "000". For example, to enter (5,000), simply input "5" and then "k";
- 4. If you input "m" inside the "Qty" field, it represents "000000". For example, to enter (5,000,000), simply input "5" and then "m";

4.1.4 Cancel Order

- (a) Click "HKEX", "CN" or "US" on the main window menu to select HK Stocks Market.
 - "HKEX" is Hong Kong Stock Market
 - "CN" are SH-HK Stock Connect & SZ-HK Stock Connect
 - "US" is American Stock Market

Portfolio) Today's Order	O/S Order Tra		History Message/Alert	Market	BOND	•			
HKD Eqv Cash Bal.		Market-	Product	Product Name	Qtv	CN FUND	ef.Frice	CCY	Divide	Market Val. (Ref)
Avail. Cash	8,920,543.43					HKEX			Class	
Max. Credit Limi	Credit Limit 0.00 CN 600276 Jiangsu Hengrui Medicine Co Ltd		500	US	wo1.09	CNY	N.A.	40,545.00		
Used Limit	0.00	FUND	D HK0000039773 BOCHK HK Dollar Income A 88,307.52		5276	\$11.03	HKD	Cash	974,650.18	
Avail Purchase	8,920,543.43	FUND	HK0000061207	Allianz Choice Hong Kong Ord C	2,799.8	497	\$27.15	HKD	N.A.	76,015.92
Stock Value (Pre	ev) 1,524,170.51	FUND	HK0000065166	BEA Hong Kong Dollar Bond R	185.743	185.743 \$13		HKD	N.A.	24,332.33
Portfolio (Prev)	10,444,713.94	HKEX	00005	HSBC HOLDINGS	4,000		\$0.00	HKD	N.A.	0.00
		HKEX	00700	TENCENT	5,000		\$0.00	HKD	N.A.	0.00
		US	SNE	Sony	1,000		\$51.68	USD	N.A.	51,680.00

(b) Click "Today's Order" to get the order information. All orders would be updated instantly.

	Portfolic	о То	day's Order	O/S Order	Trade His	story M	/lessage/Al	ert Market	HKEX	•
Ameno	d/Cante	IStatus	Update/Cancel	Order No.	^	Buy/Sell	Market \$	Product Code	Туре	ссү≎
Amend	Cance	NEW		20600		Buy	HKEX	00001	E	HKD
Amend	Cance	NEW		20599		Buy	HKEX	00001	E	HKD
Amend	Cance	NEW		20597		Buy	HKEX	00001	E	HKD
Amend	Cance	NEW		20596		Buy	HKEX	00001	E	HKD
	V									

(c) Click "Cancel" to cancel the order.



(d) Double check the order information, click "Confirm" to send the cancel request.

Cancel Order (20599)												
Market:	HKEX											
Buy/Sell:	Buy											
Order Type:	Order Type: E - Enhanced Limit											
Product:	: 00001											
Price:	73.9											
Qty:	500											
Status:	NEW											
Executed:	0											
	Confirm Cancel											

(e) If the order cancelled successfully, the order status will change to "CAN".

Remark:

1. Note that sending the cancel request does not necessarily mean that the order is successfully cancelled. Please check the order status again to confirm the changes. For details, please refer to Q&A section.

4.1.5 Order Information Enquiry

- (a) Click "HKEX", "CN" or "US" on the main window menu to select HK Stocks Market.
 - "HKEX" is Hong Kong Stock Market
 - "CN" are SH-HK Stock Connect & SZ-HK Stock Connect
 - "US" is American Stock Market

Portfolic	Today's Order	O/S Order Trade		History Message/Alert	Market	BOND	•			
HKD Eqv. +	Cash Bal.	Market -	Product	Product Name	Qtv	CN FUND	efFrice	CCY	Divide	Market Val. (Ref)
Avail. Cash	8,920,543.43					HKEX			Class	
Max. Credit Lim	Credit Limit 0.00 CN 600276 Jiangsu Hengrui Medicine Co Ltr		500	US	wo1.09	CNY	N.A.	40,545.00		
Used Limit	0.00	FUND	HK0000039773	BOCHK HK Dollar Income A	88,307.5276		\$11.03	HKD	Cash	974,650.18
Avail Purchase	8,920,543.43	FUND	HK0000061207	Allianz Choice Hong Kong Ord C	2,799.8	497	\$27.15	HKD	N.A.	76,015.92
Stock Value (Pr	ev) 1,524,170.51	FUND	HK0000065166	BEA Hong Kong Dollar Bond R	185.743	3	\$131.00	HKD	N.A.	24,332.33
Portfolio (Prev)	10,444,713.94	HKEX	00005	HSBC HOLDINGS	4,000		\$0.00	HKD	N.A.	0.00
		HKEX	00700	TENCENT	5,000		\$0.00	HKD	N.A.	0.00
		US	SNE	Sony	1,000		\$51.68	USD	N.A.	51,680.00

- (b) Click "Today's Order" to get the order information. All orders would be updated instantly.
- (c) You can sort the orders inside the table according to different criteria. For example: Order No., Market, Product Code, CCY, Price, Qty, O/S Order and Last Update.

Portfolio	То	nday's Order	O/S Order	Trade History	Message/A	lert M	Market HK	EX 🔻					(
Amend/Cancel	Status	Update/Cancel	Order No.	Buy/Sell	Market≎	Produc	t Code Ty	CCY:	Price	Qty	≎ O/S Ord	er CExecuted	Last Upda
Amend Cancel	NEW		20600	Buy	HKEX	00001	E	HKD	73.95	500	500	0	15:04:25 (j)
Amend Cancel	NEW		20599	Buy	HKEX	00001	E	HKD	73.9	500	500	0	15:03:52 (j
Amend Cancel	NEW		20597	Buy	HKEX	00001	E	HKD	73.65	500	500	0	10:26:43 ()
Amend Cancel	NEW		20596	Buy	HKEX	00001	E	HKD	73.65	500	500	0	10:26:00 (j)



(d) To check more details of an order, click the order number, a popup window with order information will be shown.

		8
	Order Enquiry	<u>^</u>
Order No :	20600	
Status :	NEW - Pending	
Buy/Sell :	Buy	
Exchange :	HKEX	
Stock Code :	00001 CKH HOLDINGS	
Order Type :	E - Enhanced Limit	
Price :	73.95	
Quantity :	500	
Remark :		
Executed Qty :	0	
Net Amount :	0.00	
Input Time :	2019-12-18 15:04:25	
Order Expiry Date :		

4.1.6 Trade Information Enquiry

- (a) Click "HKEX", "CN" or "US" on the main window menu to select HK Stocks Market.
 - "HKEX" is Hong Kong Stock Market
 - "CN" are SH-HK Stock Connect & SZ-HK Stock Connect
 - "US" is American Stock Market

Portfol	io Today's Order	0/S (Order Trade	History Message/Alert	Market	BOND	•			
HKD Eqv. +	Cash Bal.	Market	Product	Product Name	Otv	GN	of Firice	CCY	Divide	Market Val (Bef)
Avail. Cash	8,920,543.43		Tioduct		,	HKEX			Class	manae van proty
Max. Credit Lir	nit 0.00	CN	600276	Jiangsu Hengrui Medicine Co Ltd	engrui Medicine Co Ltd 500		wo1.09	CNY	N.A.	40,545.00
Used Limit	0.00	FUND	HK0000039773	BOCHK HK Dollar Income A	88,307.5276		\$11.03	HKD	Cash	974,650.18
Avail Purchase	8,920,543.43	FUND	HK0000061207	Allianz Choice Hong Kong Ord C	2,799.8497		\$27.15	HKD	N.A.	76,015.92
Stock Value (P	rev) 1,524,170.51	FUND	HK0000065166	BEA Hong Kong Dollar Bond R	185.743	3	\$131.00	HKD	N.A.	24,332.33
Portfolio (Prev)	10,444,713.94	HKEX	00005	HSBC HOLDINGS	4,000		\$0.00	HKD	N.A.	0.00
		HKEX	00700	TENCENT	5,000		\$0.00	HKD	N.A.	0.00
		US	SNE	Sony	1,000		\$51.68	USD	N.A.	51,680.00

(b) Click "Trade History" on the main window menu and all of the completed orders of today will be shown.

Portfolio	ortfolio Today's Order			O/S Order Trade History		Message/Alert		
Buy/Sell	¢	Market	٥	Product Code	\$	Product Name	\$	Exec. Price
Buy		HKEX		00001		CKH HOLDINGS		\$100.0000



4.1.7 eIPO

(a) Click "Others" and then "e-IPO".



(b) Click "Apply".

2 Product(s) Found Currently Available For e-IPO								
	Product	Name	Start Time	End Time				
oly	98765	TEST IPO 测试 IPO	2015-06-25 00:00:00	2015-12-25 00:00:00				
ply	00888	8	2015-04-08 00:00:00	2016-07-01 00:00:00				



- (c) Select "Apply Qty" from drop down menu. The system will automatically calculate the required amount.
- (d) Click "Apply" and "Confirm" to finish.

				\otimes
Ing	out IPO Subscription (Layout 1)	Quantity	1	
Product Code :	09988	Apply Qty	Apply Amount	
Name	ALIBABA GROUP HOLDING LIMITED	100	18,989.45	
Hume.	(Prospectuses)	200	37,978.90	
Apply Qty :	100 •	300	56,968.34	
Apply Amount :	HKD 18,989.45	400	75,957.79	
Time	From 2019-11-15 09:00:00 To 2019-12-31 12:00:00	500	94,947.24	
Margin End Time :	2019-12-31 12:00:00	600	113,936.69	
Max. Loan Ratio (%) :	0%	700	132,926.13	
Loan Amount :	HKD 0	800	151,915.58	
Interest %	0% / 0Day	900	170,905.03	
Interest :	HKD 0	1,000	189,894.48	
Handling Fee	HKD 1 000 00	1,500	284,841.71	
	Apply	2,000	379,788.95	
	мры	2,500	474,736.19	
		3,000	569,683.43	
		3,500	664,630.67	
		4,000	759,577.90	
		4,500	854,525.14	
		5,000	949,472.38	
		6,000	1,139,366.86	
		7,000	1,329,261.33	
		8,000	1,519,155.81	-
		0 000	4 700 050 20	

Remark:

1. The IPO can be applied once. If you want to apply it again, please cancel the previous application and make a new application.

4.1.8 What is the pre-opening session?

4.1.8.1 Hong Kong Securities Market

For more details about the Hong Kong Securities Market, please visit the website: https://www.hkex.com.hk)

Trading is conducted on Monday to Friday (excluding public holidays) at the following times:

		Full Day Trading	Half Day Trading				
Auction Session	Pre- opening Session	9:00 a.m 9:30 a.m.					
Continuous Trading Session	Morning Session	9:00 a.m 12	:30 noon				
00331011	Extended Morning Session	12:00 noon - 1:00 p.m.	Not applicable				
	Afternoon Session	1:00 p.m 4:00 p.m.	Not applicable				
Auction Session	Closing Auction Session	4:00 p.m. to a random closing between 4:08 p.m. and 4:10 p.m.	12:00 noon to a random closing between 12:08 p.m. and 12:00 p.m.				

Note: There is no Extended Morning Session and Afternoon Session on the eves of Christmas, New Year and Lunar New Year. There will be no Extended Morning Session if there is no Morning Session.

"Pre-opening Session"

Orders are accumulated over a certain period of time and matched at a predefined order matching period. Orders are matched in order type, price and time priority (at-auction orders carry a higher matching priority), at the final Indicative Equilibrium Price ("IEP").

"Continuous Trading Session"

Orders are continuously executed in strict price and time priority. An order entered into the system at an earlier time must be executed in full before an order at the same price entered at a later time is executed.

Remarks: The Extended Morning Session is for the trading of Extended Trading Securities which currently include iShares Korea (4362) and iShares Taiwan (4363) only.

"Closing Auction Session"

A closing auction is a commonly used trading mechanism which allows trades to be executed at the closing price. During a closing auction, market participants may input buy and sell orders, with the price that most volume can be traded at forming the closing price. All orders will then be executed at that price. The closing auction session begins immediately after the continuous session.

(a) "Pre-opening Session"

The Pre-opening Session is an additional trading session of 30 minutes which takes place before the Morning Trading Session. It allows orders to be entered into the trading system, OTP-C, for a single price auction, and transactions concluded before the commencement of the Morning Trading Session to be reported by Exchange Participants.

The Pre-opening Session consists of "an order input period", "a pre-order matching period", "an order matching period" and "a blocking period" as follows:

Order Input Period	From 9:00 a.m. to 9:15 a.m.
Pre-order Matching Period	From 9:15 a.m. to 9:20 a.m.
Order Matching Period	From 9:20 a.m. to 9:28 a.m.
Blocking Period	From 9:28 a.m. to 9:30 a.m.

During the order input period, only at-auction orders and at-auction limit orders are accepted. Orders are accumulated and updated in OTP-C continuously and may be modified or cancelled. Input of pre-open trades is allowed during this period.

During the pre-order matching period, only at-auction orders are accepted and modification or cancellation of orders in OTP-C will not take place. It can avoid significant changes in the Indicative Equilibrium Price (IEP) and adjust the IEP to a fair market price. Input of pre-open trades is allowed during this period.

During the order matching period, no input, modification and cancellation of orders are allowed. Orders will be matched in order type (at-auction order first), price and time priority at the final IEP. No input of pre-open trades is accepted during this period.

During the blocking period, no order and trade activities are allowed. It is a break between the Pre-opening Session and the Morning Trading Session.

(b) "Continuous Trading Session (CAS)"

CAS would last for about 8 to 10 minutes and consists of a Reference Price fixing period, an order input period, a no-cancellation period and a random closing period as follows:

	Full Day Trading	Half Day Trading*
Reference Price Fixing Period	16:00 - 16:01	12:00 - 12:01
Order Input Period	16:01 – 16:06	12:01 – 12:06
No-Cancellation Period	16:06 – 16:08	12:06 - 12:08
Random Closing Period	16:08 – 16:10	12:08 – 12:10

* Eves of Christmas, New Year and Lunar New Year

In the "Reference Price Fixing Period", a Reference Price, which sets the allowable price limit of CAS (\pm 5 per cent from the Reference Price), is calculated for each CAS Security.

In the "Order Input Period", at-auction orders and at-auction limit orders within the ±5 per cent price limit could be entered, amended or cancelled on CAS Securities.

Starting from the "No-Cancellation Period", at-auction orders and at-auction limit orders could be entered. However, the prices of new at-auction limit orders must be between the lowest ask and highest bid of the order book, and no orders could be amended or cancelled.

In the "Random Closing Period", the order rules from the No-Cancellation period apply and the market closes randomly within two minutes. In cases where final IEP cannot be established during the CAS, the Reference Price will be treated as the final IEP for order matching and will become the closing price of the CAS security. Order matching is based on order type, price and then time priority (at-auction orders carry a higher matching priority).

CAS will be applicable to all equities (including depositary receipts, investment companies, preference shares and stapled securities) and funds (including ETFs and REITs).

(c) "Volatility Control Mechanism (VCM)"

HKEX has adopted a dynamic price limit VCM model for the securities and derivatives markets, which would trigger a cooling-off period in case of abrupt price volatility detected at the instrument level.

- The VCM is only applicable for board lot order input during the Continuous Trading Session (CTS), but not for any orders input during the Pre-opening Session (POS) and the CAS.
- During the CTS, the potential trade price of a VCM security will be continuously checked against a dynamic price limit of ±10% based upon the reference price (±5%, for the derivatives market) which is the last traded price 5 minutes ago.
- The VCM is triggered if a stock is ±10% away (or if a futures contract is ±5% away) from the last traded price 5-min ago; A 5-min cooling-off period will start.
- For each VCM instrument, there will be a maximum of one VCM trigger in each trading session (Morning Session and Afternoon Session are counted as two separate trading sessions).
- Normal trading without restriction will resume on the VCM-triggered instrument after the cooling-off period. There will not be any VCM monitoring on the VCM-triggered instrument within the same trading session.

4.1.8.2 Stock Connect (Northbound)

Trading is conducted on Monday to Friday (excluding non-Northbound Trading days) at the following times:

	SSE/SZSE Trading Hours	Time for Eps to input Northbound orders		
Opening Call Auction	9:15 a.m. – 9:25 a.m.	0:10 c m 11:20 c m		
Continuous Auction (Morning)	9:30 a.m. – 11:30 a.m.	9:10 a.m. – 11:30 a.m.		
Continuous Auction (Afternoon)	1:00 p.m. – 2:57 p.m.	12:55 p.m. – 3:00 p.m.		
Closing Call Auction	2:57 p.m. – 3:00 p.m.			

Time Period	Remarks
9:20 a.m.– 9:25 a.m	SSE/SZSE will not accept order cancellation
2:57 p.m.– 3:00 p.m	SSE/SZSE will not accept order cancellation.
9:10 a.m. – 9:15 a.m.; 9:25 a.m.– 9:30 a.m.; 12:55 p.m.– 1:00 p.m	Orders and order cancellations can be accepted by SEHK but will not be processed by SSE/SZSE until SSE's and SZSE's market open.

Orders that are not executed during the opening call auction session will automatically enter the continuous auction session.

4.1.8.3 American Securities Market

Trading (US time) is conducted on Monday to Friday (excluding US holidays) at the following times:

US trading hours	HK trading hours (US Winter Time)	HK trading hours (US Summer Time)			
09:30 - 16:00	22:30 - 05:00	21:30 - 04:00			

Remarks: For the American market holiday schedule, please refer to our website:

https://www.pcsec.com.hk.



4.1.9 Order Types

Our trading system currently supports all order types of HKEx:

Order Types

"Limit Order" - L

A limit order will allow matching only at the specified price. The sell order input price cannot be made at a price below the best bid price, if available whereas the buy order input price cannot be made at a price above the best ask price, if available.

Any outstanding limit order will be put in the price queue of the input price.

"Enhanced Limit Order" - E

An enhanced limit order will allow matching of up to 10 price queues (i.e. the best price queue and up to the 10th queue at 9 spreads away) at a time provided that the traded price is not worse than the input price. The sell order input price cannot be made at a price of 10 spreads (or more) below the current bid price whereas the buy order input price cannot be made at a price of 10 spreads (or more) above the current ask price.

Any outstanding enhanced limit order will be treated as a limit order and put in the price queue of the input price.

"Special Limit Order" - S

A special limit order will allow matching of up to 10 price queues (i.e. the best price queue and up to the 10th queue at 9 spreads away) at a time provided that the traded price is not worse than the input price. A special limit order has no restriction on the input price as long as the order input price is at or below the best bid price for a sell order or at or above the best ask price for a buy order.

Any outstanding special limit order will be cancelled and will not be stored in the OTP-C.



"At-auction Limit Order" - I

An at-auction limit order is an order with a specified price. An at-auction limit order with a specified price at or more competitive than the final IEP (in case of buying, the specified price is equal to or higher than the final IEP, or in case of selling, the specified price is equal to or lower than the final IEP) may be matched at the final IEP subject to availability of eligible matching order on the opposite side. An at-auction limit order will be matched in price and time priority at the final IEP. No at-auction limit order will be matched at a price worse than the final IEP. If an IEP cannot be determined during the Closing Auction Session, the Reference Price will serve as the price for matching. The matching of applicable at-auction orders and at-auction limit orders will occur at the Reference Price instead and the matching mechanism will be same as matching at the IEP.

Any outstanding at-auction limit orders at the end of the Pre-opening Session will be carried forward to the Continuous Trading Session and treated as limit orders provided that the specified price of that at-auction limit order does not deviate 9 times or more from the nominal price. Such orders will be put in the price queue of the input price.

"At-auction Order" - A

An at-auction order is an order with no specified price and is entered into the OTP-C for execution at the final Indicative Equilibrium Price (IEP). It enjoys a higher order matching priority than an at-auction limit order and will be matched in time priority at the final IEP.

Any outstanding at-auction orders after the end of the Pre-opening Session will be cancelled before the commencement of the Continuous Trading Session.

Remarks:

- 1. The order price input into the OTP-C cannot deviate 9 times or more from the previous closing price or the nominal price (as the case may be), if available.
- 2. Subject to quotation rules (except those exempted); and
- 3. The maximum order size for automatch stocks is 3,000 board lots.

For more details, please refer to the "order types" of HKEx as below link: https://www.hkex.com.hk



4.2 Fund Trading

4.2.1 Order (Buy)

- (a) Click "(Fund) Order Input" on the main window menu.
- (b) Select "Order Type" and click "Buy".
- (c) Select "Fund" by:
 - i) Select "Fund House" to screen "Fund"; or
 - ii) Select "Fund" by selecting "Fund Code" or "Fund Name".
- (d) You can read the fund details on the product information zone.
- (e) Enter the amount in "Subscription Amount".
- (f) "Net Amount" = "Subscription Amount" + "Subscription Fee".
- (g) Select "Dividends" (if applicable).
- (h) Click "Place Order" to place the order or "Clear" to reset.

	PC Securities Limited 寶鉅證券有限公司	(Security)Order	Input (Security)C	ond Orde	HKEX								
-		Order Type	Buy		Fund Code								
<i></i>	Equity Market	Fund House	Allianz Global Inves	NOIS LIÙ	•		Please choose						* Search
8	Order Report	Fund Code	HK0000061207	• Quo			Currenew	Drico	Drico	Date		Change	Viold
•	Trade Report	Fund Name	Allianz Choice Hon	g Kong	*		нкр	27.09	2020-0	3-19		-1.98	0
8	Order Trade Report	Subscription	HKD 8000										
	Account Management	Amount	1.11/172 00000.000				Allianz Choice Ho	ong Kong Or	<u>rd C</u> Key I	Facts			
		Net Amount	HKD 8080.00	_	-		Launch Date		2004-02-19	Produ	ict Risk		4
<u>8</u>	Deposit / Withdrawal		Order	Clear			Asset Class		Equity	Туре			Accumulation
+	Others						Sector	Equity	- Hong Kong	Pricin	g Frequ	iency	Daily
-							Product Rating		*****	Fund	ind Size 4,583,810,000		
							Domicile		Hong Kong	Citico	de(FE)		E996
							ISIN	H	(0000061207	Invest	tment S	tyle	Growth
											P	owered by Invest	plus Mart
		Destitution	Testado Octav	0.00	Denter Transfe	Linter	-					· · ·	
		FUILIDID	Tudays Order	Uat		riisioiy	wiessage/Alen	Marker BOND	•				
		HKD Eqv		Market +	Product	Product	Name	Qty	Ref.Price	CCY Di	ivide	Market Val. (Ref)	Market Val. (Ref)
		Avail. Cash	8,920,543.43					-		C	lass		
		Max. Credit Limit	0.00	CN	600276	Jiangsu I	Hengrui Medicine Co Ltd	500	\$81.09	CNY N.	.A. 4	40,545.00	45,499.60
		UsedLimit	0.00	FUND	HK0000039773	BOCHK	HK Dollar Income A	88,307.5276	\$11.03	HKD Ca	ash 9	974,650.18	974,650.18



(i) After clicking "Order", the system will show the details of "Submit Order". Please read the terms of the transaction carefully and tick "✓" in the boxes "□", it means you understand all the terms and click "OK".

	DC Securities Limited	(Security)Order	Input		HKEX	INEST*	E C								
	客鉅證券有限公司	(Security)Cond	Order			Confirm To S	Submit Order? ×								
	JESCHESS PSINA -J	(Fund)Order In	put												
Ma	Emuity Market	0.1.T	Duni			Order Type:	Buy								
and	Code Presed	Order Type	Buy			FundHouse									
	Order Report	FundHouse	Allianz Global In	vestors	•	Fund Code:	Fund Code: HK0000061207								
	Trade Report	Fund Code	HK0000061207	• 000		Fund Name:	Allianz Choice Hong Kong Ord C	20-03-10							
	Order Trade Report	FundName	Allianz Choice H	ong Ko	-	Subscription	Amount: HKD 8,000	20-05-13							
		Fund Name Pund 2 Choice Hong No.				Minimum Su	hkD 8,080.00								
Ň	Account Management	Subscription	HKD 8000			Minimum Su	bscription Amount (Initial): HKD 8 000								
9	Deposit / Withdrawal	Not Amount				Fee(%): 1	bon provinciant (mata). The 0,000	2-19							
		Net Amount	- III D 0000.00					quity							
+	Others		Order	Clear		conditions a	and agree 🗠 to the following terms and nd confirm to submit order.	Cong							
						C No undo	mtand that the cut off time for fund								
						mescription/	redemotion/switching is at 12 noon on a	Cong							
						trading day.	Any order instructions submitted after the	1207							
						cut-off time w	vill be processed on the next trading day.	207							
						Ve have	confirmed that before we are buying and								
						sening invest	ment products (including derivatives	距測試机							
						traded on an	exchange, complex bonds, funds								
		Portfolio	Today's Orde	r 0/S	Order Trade	authorized by	the SFC which are derivative funds,								
		INCOL				unlisted struc	tured investment products, other non-								
		HKD Eqv. +		Market	Product P	exchange-tra	ded structured investment products, any	e CCY							
		Avail. Cash	8,920,543.43	•		time to time a	ent product the SFC may specify from and any other SEC-authorized and								
		Max. Credit Limit	0.00	CN	600276 J	a unauthorized	products), I/we have completely read,	CNY							
		Used Limit	0.00	FUND	нкооооза в	understand a	nd accept relevant risk disclosure,	нкр							
		Avail Purchase	8,920,543.43	FUND		including fund	d/bond/complex product term sheets.	HICD							
	Stock Value (Prev) 1.524 170 51		FUND	HK0000001 A	products key	facts statements (including risk disclosure	HAD								
	Subik Value (FIEV) 1,524,170.51	FUND	HKUUUUU65 B	statement), a	nnual report, interim report and product	HKD									
						reatures.									
							\cap								
							Confirm Cancel								

Remarks:

- 1. You can change and cancel all new orders when placing orders on or before deadline (12:00 noon every trading day).
- 2. After the deadline of ordering (12 noon every trading day), the new orders will be sent to the relevant fund house at the same day. The new orders cannot be cancelled.
- 3. If you place order after the deadline (12 noon every trading day), the new orders will be processed on the next trading day.



4.2.2 Order (Sell)

- (a) Click "(Fund) Order Input" on the main window menu.
- (b) Select "Order Type" and click "Sell".
- (c) Select "Fund" by selecting "Fund Code" or "Fund Name".
- (d) You can read the fund details on the product information zone.
- (e) Enter the amount in "Subscription Amount".
- (f) Click "Place Order" to place the order or "Clear" to reset.

	PC Securities Limited 寶鈩讀券有限公司	(Security)Order	Input (Security)C	Cond Orde	r HK	EX						
-		Order Type	Sell		$\overline{\mathbf{v}}$		Fund Code					
á	Equity Market	Fund Code	HKUUUUUUUUU		e		Please choose					 Search
8	Order Report	Fund Name	BOCHK HK Dollar	Income A	*		Curreneu	Dries	Drice D	ato	Change	Viold
	Trade Report	Qty	8000				HKD	11 0404	2020-03	ale -18	-0.34	neiu
	Order Trade Report		Order	Clear			TIKE	11.0404	2020-03	-10	-0.54	, in the second
•	Account Management		Cidei	Cicto	-		BOCHK HK Dolla	r Income A H	Key Facts			
							Launch Date		2002-07-12	Product Ris	sk	2
ទ	Deposit / Withdrawal						Asset Class	F	Fixed Interest	Туре		Income
-	Others						Sector	Fixed Ir	nterest - HKD	Pricing Fre	quency	Daily
							Product Rating	(<u>~~~</u>	Fund Size		12,821,080,000
							Domicile		Hong Kong	Citicode(FE	E)	K775
							ISIN	нк	(0000039773	Investment	Style	None
											Powered by Inve	estplus Mar
									_			
		Portfolio	Today's Order	0/8 0	Droter Ti	ade Histor	y Message/Alert	Market BOND	•			
		HKD Eqv	Cash Bal.	Market-	Product	Pmdu	rtName	ON	Bef Price	Divide.	"Market Val (Bet)	Market Val. (Ref
		Avail. Cash	8,920,543.43	mandt	TOUUCI	riouu	Germanic .	aly.	neurne C	Class	manet val. (riel)	mainer val. (Rel)
		Max. Credit Limit	0.00	CN	600276	Jiangs	u Hengrui Medicine Co Ltd	500	\$81.09 C	NY N.A.	40,545.00	45,499.60



(g) After clicking "Order", the system will show the details of "Submit Order". Please read the terms of the transaction carefully and tick "✓" in the boxes "□", it means you understand all the terms and click "OK".

5	DC Coourition Limited	(Security)Order	Input (Security)	Cond Order	HKEX	INEST*	E	_		
	寶鉅證券有限公司	(Fund)Order In	put		Confirm To S	Submit Order?				
		Order Type	Sell		Order Type:	Sell				
aŭil	Equity Market	Fund Code	HK0000039773	• Quote	Fund House:	BOCI-Prudentia	al Asset Management Lto	d		
	Order Report	FundName	BOCHK HK Dollar	Income A +	Fund Code: H	HK0000039773			Drice	late
	Trade Report	Qty	8000		Pund Name: Qty: 8,000	ROCHK HK DOI	lar Income A		2020-01	ate
	Order Trade Report		Order	Glear	Fee(%): 0				LOLU U	
	Account Management				Please read a	nd agree 🗹 to t	he following terms and		/ Facts	
					Conditions and	a confirm to sub	mit order.		002-07-12	Pro
T	Deposit / Withdrawal				Subscription/re	demption/switch	t-off time for fund hing is at 12 noon on a		d Interest	Тур
+	Others				trading day. Ar	ny order instructi processed on th	ions submitted after the one next trading day.	out-	est - HKD	Pric
-					We have o	, onfirmed that he	fore we are buying and		W W	Fun
					selling investm	ent products (in	cluding derivatives trade	don	ong Kong	Citie
					an exchange, o which are deriv	complex bonds, vative funds, her	funds authorized by the doe funds authorized by	SFG the	00039773	Inve
					SFC, SFC-aut	horized unlisted	structured investment			
					products, othe	r non-exchange-	traded structured			
				W	SFC may spec	ify from time to	time and any other SFG-		5-min dela	ayedor
		Portfolio	Today's Order	O/S Orde	authorized and completely rea	d unauthorized p id, understand a	roducts), I/we have nd accept relevant risk			
		HKD Eqv			disclosure, dis documents inc	claimer, investm luding fund/bond	ent products' offering d/complex product term			
		Avail. Cash	8,920,543.43	Market + Pro	sheets, produc disclosure stat	ets key facts stat ement), annual (ements (including risk report, interim report and	ł	ef.Price	CCY
		Max. Credit Limit	0.00	CN 600	product feature	es.	<u> </u>		81.09	CNY
_		Used Limit	0.00	FUND HK	c		Confirm		11.03	HKD
		Avail Purchase	8 920 543 43				Can	Uer	07 15	

Remarks:

- 1. You can change and cancel all new orders when placing orders on or before deadline (12:00 noon every trading day).
- 2. After the deadline of ordering (12 noon every trading day), the new orders will be sent to the relevant fund house at the same day. The new orders cannot be cancelled.
- 3. If you place order after the deadline (12 noon every trading day), the new orders will be processed on the next trading day.



4.2.3 Order (Switch)

- (a) Click "(Fund) Order Input" on the main window menu.
- (b) Select "Order Type" and click "Switch".
- (c) Select "Fund" by selecting "Fund Code" or "Fund Name" in "Sell" part.
- (d) You can read the fund details on the product information zone.
- (e) Enter the amount in "Subscription Amount".
- (f) Select "Fund" in "Buy" part by:
 - Select switch "Fund" by selecting in "Buy" part
 - i) Select "Fund House" to screen "Fund"; or
 - ii) Select "Fund" by selecting "Fund Code" or "Fund Name".
- (g) Select "Dividends" (if applicable).
- (h) Click "Place Order" to place the order or "Clear" to reset.

	PC Securities Limited	(Security)Orde	r Input (Security)	Cond Orde	HKEX	Nest E							
	寶鉅證券有限公司	(Fund)Order I	nput	-	-	Fund Code							
		Order Type	Switch			Funa Coae							
and	Equity Market		Sell	_		Please choose						*	Search
8	Order Report	Fund Code	HK0000039773	• Quo									
	Trade Report	Fund Name	BOCHK HK Dollar	Income A	*	Currency	Price	Price	Date		Change	YI	eld
	Order Trade Report	Qty	8000			HKD	27.09	2020-	03-19	_	-1.96		0
•			Buy			Allianz Choice Ho	ong Kong O	<u>rd C</u> Key	Fact	s			
Ŭ.	Account Management	Fund House	Allianz Global Inve	stors Ltd	-	Launch Date	_	2004-02-1	9 Pr	oduct Ris	k		4
8	Deposit / Withdrawal	Fund Code	HK0000061207	v Quo		Asset Class		Equit	y Ty	pe		Ac	cumulation
	Olhom	Fund Name	Allianz Choice Hor	ng Kong	*	Sector	Equit	y - Hong Kong	g Pri	cing Freq	uency		Daily
T .	Olikis	Gurrency	HKD			Product Rating		-	Fu	nd Size		4,5	83,810,000
			Order	Clear		Domicile		Hong Kong	g Cit	icode(FE))	E996	
						ISIN	н	K000006120	7 Inv	estment	Style		Growth
											Powered by Inve	estplu	s <u>Ne</u> 5*
		Portfolio	Today's Order	0/8 0	Order Trade	History Message/Alert	Market BONE						
		HKD Eqv	Cash Bal.	Marint	D -1-1	DeschultName	Obi	Del Drice	001	Divide	Madent Val. (Dab		advet Val. (Dab
		Avail. Cash 8,920,543.43			Floudet	r iouuci ivaine	Caty	neurnce	UUT	Class	mainer val. (ner)	M	anci val. (riet)
		Max. Credit Limi	0.00	CN	600276	Jiangsu Hengrui Medicine Co Ltd	500	\$81.09	CNY	N.A.	40,545.00	45	,499.60
		Used Limit	0.00	FUND	HK000039773	ROCHK HK Dollar Income A	88 307 5276	\$11.03	нкр	Cash	974 650 18	97	4 650 18

(i) After clicking "Order", the system will show the details of "Submit Order". Please read the terms of the transaction carefully and tick "✓" in the boxes "□", it means you understand all the terms and click "OK".

1		(Security)Orde	r Input (Security)(Cond Order	HKEX NE57
	PC Securities Limited 寶鉅證券有限公司	(Fund)Order In	nput		Confirm To Submit Order?
		Order Type	Switch	٣	Order Type: Switch
a	Equity Market		Sell		Sell Fund House: BOCI-Prudential Asset Management Ltd
	Order Report	Fund Code	HK0000039773	- Quote	Fund Code: HK0000039773
	Trade Report	FundName	BOCHK HK Dollar	Income A +	Fund Name: BOCHK HK Dollar Income A
	Order Trade Report	Oh	8000		Gurrency: HKD
_	Stan Haas Hopott	Cary	Put		Qty: 8,000
	Account Management	-	Allianz Clobal Inco	atom L tri	
		Fund House	Amanz Gibbai mve:		Fund House: Allianz Global Investors Ltd 004
ଟ୍ର	Deposit / Withdrawal	Fund Code	HK0000061207	Quote	Fund Code: HK0000061207
1	Othom	FundName	Allianz Choice Hon	ig Kong 👻	Fund Name: Allianz Choice Hong Kong Ord G
<u> </u>	Others	Gurrency	HKD		Gurrency: HKD Minimum Subscription Amount: HKD 8 000
			Order	Clear	Minimum Subscription Amount (Initial): HKD 8,000 on
			Older	Cied	Fee(%): 1
					Please read and agree E to the following terms and conditions and confirm to submit order. We understand that the cut-off time for fund subscription/redemption/switching is at 12 noon on a trading day. Any order instructions submitted after the cut- off time will be processed on the next trading day. We have confirmed that before we are buying and using investment products (including derivatives traded on an exchange, complex bonds, funds authorized by the SFC which are derivative funds, hedge funds authorized by the SFC, SFC-authorized unlisted structured investment products, other non-exchange-traded structured investment products, any other investment product the SFC may specify from time to time and any other SFC- authorized and unauthorized products), l/we have
		Portfolio	Today's Order	O/S Ord	rider completely read, understand and accept relevant risk disclosure, disclaimer, investment products' offering
		HKD Eqv	Cash Bal.		documents including fund/bond/complex product term
		Avail. Cash	8,920,543.43	Market+ P	disclosure statement), annual report, interim report and
		Max. Credit Limit	0.00	CN 6	600 product features.
		Used Limit	0.00	FUND H	HKC Cantel 11
		Avail Purchase	8 920 543 43		

Remarks:

- 1. You can change and cancel all new orders when placing orders on or before deadline (12:00 noon every trading day).
- 2. After the deadline of ordering (12 noon every trading day), the new orders will be sent to the relevant fund house at the same day. The new orders cannot be cancelled.
- 3. If you place order after the deadline (12 noon every trading day), the new orders will be processed on the next trading day.

4.2.4 Amend Order

(a) Click "FUND" on the main window menu to select Funds Market.

Portfolio	Today's Order	O/S C	Drder Trade	History Message/Alert	Market	FUND	·			
HKD Eqv. → Cas	sh Bal.	Market-	Product	Product Name	Otv	GN	ofFrice	CCY	Divide	Market Val. (Bef)
Avail. Cash	8,920,543.43	in a not	TIOUUCI			HKEX			Class	manut Fai (101)
Max. Credit Limit	0.00	CN	600276	Jiangsu Hengrui Medicine Co Ltd	500	US	vo1.09	CNY	N.A.	40,545.00
Used Limit	0.00	FUND	HK0000039773	BOCHK HK Dollar Income A	88,307.	5276	\$11.03	HKD	Cash	974,650.18
Avail Purchase	8,920,543.43	FUND	HK0000061207	Allianz Choice Hong Kong Ord C	2,799.8	497	\$27.15	HKD	N.A.	76,015.92
Stock Value (Prev)	1,524,170.51	FUND	HK0000065166	BEA Hong Kong Dollar Bond R	185.743	3	\$131.00	HKD	N.A.	24,332.33
Portfolio (Prev)	10,444,713.94	HKEX	00005	HSBC HOLDINGS	4,000		\$0.00	HKD	N.A.	0.00
		HKEX	00700	TENCENT	5,000		\$0.00	HKD	N.A.	0.00
		us	SNE	Sony	1,000		\$51.68	USD	N.A.	51,680.00

- (b) Click "Today's Order" to get the fund order information. All fund orders would be updated instantly.
- (c) Click "Amend" to update an order.

Portfoli	io Today	y's Order	O/S Order Trade	History	Message/Alert
Amend/Cancel	Status	Order No.	~	Buy/Sell 🗘	Fund Code
Amend Cancel	NEW	GS00000315		Buy	HK0000039773
Amend Cancel	NEW	GS00000314		Buy	HK0000039773
$\mathbf{\vee}$	PRO	GS00000313		Buy	HK0000039773
	PRO	GS00000312		Buy	HK0000039773
	PRO	GS00000311		Buy	HK0000065166
	PRO	GS00000310		Buy	HK0000065166



(d) Enter the new Order Amount and New Dividend Class, then click "Confirm" to proceed.

Update Order								
Order Type:	Buy							
Fund House:	BOCI-Prudential Asset Mgmt. Ltd							
Fund Code:	HK0000039773							
Fund Name:	BOCHK Hong Kong Dollar Income Fund A							
Order Amount:	HKD	50000						
Dividend Class:	Cash	\checkmark						
Fee(%):	1							
		Confirm Cancel						

4.2.5 Cancel Oder

(a) Click "FUND" on the main window menu to select Funds Market.

Portfolio	Today's Order	o/s c	Drder Trade	History Message/Alert	Market	FUND	·)			
HKD Eqv. → Ca	sh Bal.	Market-	Product	Product Name	Otv	GN	ofFrice	CCY	Divide	Market Val (Bef)
Avail. Cash	8,920,543.43	manut	FIGURE	i loudet i tane	carly	HKEX		001	Class	manet val. (rici)
Max. Credit Limit	0.00	CN	600276	Jiangsu Hengrui Medicine Co Ltd	500	US	σ σ1.09	CNY	N.A.	40,545.00
Used Limit	0.00	FUND	HK0000039773	BOCHK HK Dollar Income A	88,307.	5276	\$11.03	HKD	Cash	974,650.18
Avail Purchase	8,920,543.43	FUND	HK0000061207	Allianz Choice Hong Kong Ord C	2,799.8	497	\$27.15	HKD	N.A.	76,015.92
Stock Value (Prev)	1,524,170.51	FUND	HK0000065166	BEA Hong Kong Dollar Bond R	185.743		\$131.00	HKD	N.A.	24,332.33
Portfolio (Prev)	10,444,713.94	HKEX	00005	HSBC HOLDINGS	4,000		\$0.00	HKD	N.A.	0.00
		HKEX	00700	TENCENT	5,000		\$0.00	HKD	N.A.	0.00
		US	SNE	Sony	1,000		\$51.68	USD	N.A.	51,680.00

- (b) Click "Today's Order" to get the fund order information. All fund orders would be updated instantly.
- (c) Click "Cancel" to cancel the order.

	Portfoli	o Today	y's Order	O/S Order	Trade	History	Message/Alert	
Amen	d/Cancel	Status	Order No.		^	Buy/Sell 🗘	Fund Code	\$
Amen	Cancel	NEW	GS00000315			Buy	HK0000039773	
Amer	Cancel	NEW	GS00000314			Buy	HK0000039773	
		PRO	GS00000313			Buy	HK0000039773	
		PRO	GS00000312			Buy	HK0000039773	
		PRO	GS00000311			Buy	HK0000065166	
		PRO	GS00000310			Buy	HK0000065166	



(d) Double check the order information, click "Confirm" to send the cancel request.

Cancel Order								
Order Type: Fund House:	Buy BOCI-Prudential Asset Momt 1 td							
Fund Code:	HK0000039773							
Fund Name:	BOCHK Hong Kong Dollar Income Fund A							
Order Amount:	10000							
Dividend Class:	Cash							
Fee(%):	1							
	Confirm Cancel							

(e) If the order cancelled successfully, the order status will change to "CAN".

4.2.6 Order Information Enquiry

(a) Click "FUND" on the main window menu to select Fund Market.

Portfolio	o Today's Order	0/8 0	Order Trade	de History Message/Alert		FUND	·			
HKD Eqv.▼	Cash Bal.	Market-	Product	Product Name	Otv	GN	ofFrice	CCY	Divide	Market Val (Ref)
Avail. Cash 8,920,543.4		manat	FIGURE	Tiouscittanc	Carly	HKEX		001	Class	manet val. (rici)
Max. Credit Limi	it 0.00	CN	600276	Jiangsu Hengrui Medicine Co Ltd	500	US	381.0P	CNY	N.A.	40,545.00
Used Limit	0.00	FUND	HK0000039773	BOCHK HK Dollar Income A	88,307.	5276	\$11.03	HKD	Cash	974,650.18
Avail Purchase	8,920,543.43	FUND	HK0000061207	Allianz Choice Hong Kong Ord C	2,799.8	497	\$27.15	HKD	N.A.	76,015.92
Stock Value (Pre	ev) 1,524,170.51	FUND	HK0000065166	BEA Hong Kong Dollar Bond R	185.743	3	\$131.00	HKD	N.A.	24,332.33
Portfolio (Prev)	10,444,713.94	HKEX	00005	HSBC HOLDINGS	4,000		\$0.00	HKD	N.A.	0.00
		HKEX	00700	TENCENT	5,000		\$0.00	HKD	N.A.	0.00
		US	SNE	Sony	1,000		\$51.68	USD	N.A.	51,680.00

- (b) Click "Today's Order" to get the fund order information. All fund orders would be updated instantly.
- (c) You can sort the orders inside the table according to different criteria. For example: Order No., Bu/Sell, Fund Code, Product Name Currency, Amount, QTY, Fee and Last Update.

Portfoli	io Toda	ay's Order O/S Order	r Trade History	Message/Alert	Market FUND 🗸						((=))
Amend/Cancel	Status	Order No.	Buy/Sell 🗘	und Code	Product Name ●	Currency 🗘	Amount 💲	Qty	Fee (%)	ast Update	\$
Amend Cancel	NEW	GS00000315	Buy	HK0000039773	BOCHK Hong Kong Dollar Income Fund A	нкр	\$50,000	\sim	0	 (i) 	^
Amend Cancel	NEW	GS00000314	Buy	HK0000039773	BOCHK Hong Kong Dollar Income Fund A	HKD	\$10,000		0	(i)	
	PRO	GS00000313	Buy	HK0000039773	BOCHK Hong Kong Dollar Income Fund A	HKD	\$50,000		0	(i)	
	PRO	GS00000312	Buy	HK0000039773	BOCHK Hong Kong Dollar Income Fund A	HKD	\$1,000,000		0	(i)	
	PRO	GS00000311	Buy	HK0000065166	BEA UI Hong Kong Dollar Bond Fund R Acc	HKD	\$8,000		0	(j)	
	PRO	G\$00000310	Buy	HK0000065166	BEA UI Hong Kong Dollar Bond Fund R Acc	HKD	\$8,000		0	١	~



(d) To check more details of the fund orders, click the fund order number, a popup window with order information will be shown.

Fund Code	Pleas	e choose	▼ Quote			-	U	-		0.00		U
Fund Name	- Pleas	e choose	T		Key Fa							
Qty		(_	
	Buy											
Fund House	- Pleas	e choose -				Order E	nquiry			^		
Fund Code	Pleas	e choose -	Order No. :	GS0000031	5							
			Trade Date :	-						- 11		0
Fund Name	Pleas	e choose -	Buy/Sell :	Buy								
Currency			Fund House :	BOCI-Prude	ntial Asset Mg	gmt. Ltd						
Dividend			Product Name :	BOCHK Hor	ng Kong Dolla	ar Income Fund A						
		Order	Dividend Class :	Cash								-
		Order	Fund Code :	HK0000039	773							ously for this product
			Currency :	HKD							lose information	is provided by Avere Solutions Lin
			Amount :	\$50,000							1036 Information	is provided by Ayers coldions Lin
Portfoli	o Toda	ay's Orde	Fee (%) :	1								
Amend/Cancel	Status	Order N	Create User :	INTERNET							; Fee (%) \$	Last Update
	NEW	GS0000	Create Date & Time :	2019-10-25	14:44:01						0	
	NEW	G\$0000	Last Update :	2019-10-25	14:44:01					~	0	
	PRO		313		Buy	HK0000039773	BOCHK Hong Kong Dollar Income Fund A	HKD	\$50,000		0	
	PRO		312		Buy	HK0000039773	BOCHK Hong Kong Dollar Income Fund A	HKD	\$1,000,000		0	
	PRO		311		Buy	HK0000065166	BEA UI Hong Kong Dollar Bond Fund R Acc	HKD	\$8,000		0	1
	PRO				Buy	HK0000065166	BEA UI Hong Kong Dollar Bond Fund R Acc	HKD	\$8,000		0	١



4.2.7 O/S Order

(a) Click "FUND" on the main window menu to select Fund Market.

Portfolio	Today's Order	0/S (Drder Trade	History Message/Alert	Market	FUND	·)			
HKD Eqv. → Cas	sh Bal.	Market-	Product	Product Name	Otv	GN	ofFrice	CCY	Divide	Market Val (Bef)
Avail. Cash	8,920,543.43	manact	FIDUUCI	TIOGULITAINC	Carly	HKEX		001	Class	manet va. (rer)
Max. Credit Limit	0.00	CN	600276	Jiangsu Hengrui Medicine Co Ltd	500	US	əd1.09	CNY	N.A.	40,545.00
Used Limit	0.00	FUND	HK0000039773	BOCHK HK Dollar Income A	88,307.	5276	\$11.03	HKD	Cash	974,650.18
Avail Purchase	8,920,543.43	FUND	HK0000061207	Allianz Choice Hong Kong Ord C	2,799.8	497	\$27.15	HKD	N.A.	76,015.92
Stock Value (Prev)	1,524,170.51	FUND	HK0000065166	BEA Hong Kong Dollar Bond R	185.743	3	\$131.00	HKD	N.A.	24,332.33
Portfolio (Prev)	10,444,713.94	HKEX	00005	HSBC HOLDINGS	4,000		\$0.00	HKD	N.A.	0.00
		HKEX	00700	TENCENT	5,000		\$0.00	HKD	N.A.	0.00
		US	SNE	Sony	1,000		\$51.68	USD	N.A.	51,680.00

(b)

Click "O/S Order" on the main window menu and all of the O/S Fund Order executed trades will be shown.

F	Portfolio Todav's Ord	er 05	Order Trade H	istory Message/Alert	Market EU					((+))
Status	Order No.	Buy/Sell 🗘	Fund Code 🗘	Product Name	Currency \$	Amount 🗘	Qty 🗘	Price 🗘	Last Update	\$
PRO	GIS00000311	Buy	HK0000065166	BEA UI Hong Kong Dollar Bond Fund R Acc	НКD	\$8,000		\$0.0000	1	
PRO	GS00000310	Buy	HK0000065166	BEA UI Hong Kong Dollar Bond Fund R Acc	HKD	\$8,000		\$0.0000	(i)	

(C)

Click "Trade History" on the main window menu and all of the Completed Orders will be shown.

Portfolio	Today's Orde	r O/S Order	Trade History Message/Aler	t Market	FUND 🔻	
Order No. 🗘	Buy/Sell \$	Fund Code	Product Name	Price \$	Qty \$	Amount 🗘
GR00000133	Sell	HK0000039773	BOCHK HK Dollar Income A	\$10.9956	8,000	
GS00000356	Buy	HK0000061207	Allianz Choice Hong Kong Ord C	\$34.2100		\$8,080
GS00000357	Buy	HK0000065166	BEA Hong Kong Dollar Bond R	\$128.8700		\$8,800
GS00000358	Buy	HK0000039773	BOCHK HK Dollar Income A	\$10.9956		\$12,120
GW00000218	Switch					
	1					

4.3 Portfolio

Click "Portfolio" on the main window menu and your portfolio information will

be shown.

Portfolio	Today's Order	O/S (Order Trade	History Message/Alert	Market FUNE) 🔻			
HKD Eqv. Cas	sh Bal.	Market-	Product	Product Name	Otv	Rof Prico	CCV	Divide	Market Val (Ref)
Avail. Cash	8,920,543.43	WAINCLY	FIUGULI	TIOUULINAME	Caty	nei nee	001	Class	mainer val. (itel)
Max. Credit Limit	0.00	CN	600276	Jiangsu Hengrui Medicine Co Ltd	500	\$81.09	CNY	N.A.	40,545.00
Used Limit	0.00	FUND	HK0000039773	BOCHK HK Dollar Income A	88,307.5276	\$11.03	HKD	Cash	974,650.18
Avail Purchase	8,920,543.43	FUND	HK0000061207	Allianz Choice Hong Kong Ord C	2,799.8497	\$27.15	HKD	N.A.	76,015.92
Stock Value (Prev)	1,524,170.51	FUND	HK0000065166	BEA Hong Kong Dollar Bond R	185.743	\$131.00	HKD	N.A.	24,332.33
Portfolio (Prev)	10,444,713.94	HKEX	00005	HSBC HOLDINGS	4,000	\$0.00	HKD	N.A.	0.00
		HKEX	00700	TENCENT	5,000	\$0.00	HKD	N.A.	0.00
		US	SNE	Sony	1,000	\$51.68	USD	N.A.	51,680.00

4.3.1 "Currency" Equivalent (all values are displayed in the selected "Currency" equivalent)

Items	Description
Avail. Cash	The Cash Balance of all currency in client's account (Securities trading only)
Max. Credit Limit	Maximum loan limit
Used Limit	Value of the Outstanding Order / Completed Order x (1 - Mortgage Rate of the Product)
Avail. Limit	"Avail. Cash" + "Max. Credit Limit" + "Used limit"
Stock Value (Prev)	Sum of value of client's account (calculated by market price on last trading day)
Portfolio (Prev)	"Avail. Cash" + "Total Stock Value"

Remarks:

The value of the "currency" is reference currency exchange rate, it is not the real-time currency exchange rate.

4.3.2 Cash Balance

The amount of each currency held in the client's account / (Purchasing Power).

HKD Eqv. Cash Bal. HKD 8,862,780.00	Market +	Product	Product Name	Qty	Ref.Price	CCY	Divide Class	Market Val. (Ref)	Market Val. (Ref)(HKD)	Market Val. (%)	Margin Value
	CN	600276	Jiangsu Hengrui Medicine Co Ltd	500	\$80.68	CNY	N.A.	40,340.00	45,269.55	6.625	0
	FUND	HK0000039773	BOCHK Hong Kong Dollar Inco	2,234.5678	\$10.98	HKD	Cash	24,543.60	24,543.60	3.592	0
	HKEX	00005	HSBC HOLDINGS	4,000	\$59.20	HKD	N.A.	236,800.00	236,800.00	34.653	165,7.
	HKEX	00700	TENCENT	5,000	\$0.00	HKD	N.A.	0.00	0.00	0.000	0
	US	SNE	Sony	1,000	\$48.23	USD	N.A.	48,230.00	376,724.53	55.130	0

<u>**4.4 Message/Alert</u>** If PC Securities send you a message, a pop up window will appear.</u>

Click "Message/Alert" on the main window menu, you can review all messages that sent by PC Securities.

Portfolio	Today's Order	O/S Order	Trade History	Message/Alert
Input Time 🔺	Message/Alert			\$
2015-09-25 15:49:35	Latest Promotion from	PC Securities!		

Alert	×
(2015-09-25 15:49:35) Latest Promotion from PC Securities!	

5 Deposit / Withdrawal

You can deposit / withdrawal cash and currency exchange.

Click "Deposit / Withdrawal" on the main window menu.





5.1 Deposit

You can deposit cash in the form of "Bank in" or "Cheque" and upload the record for reference in PDF/JPG/PNG.

- If you deposit cash by "Bank in", please provide bank in date and time.
- If you deposit cash by "Cheque", please provide cheque number.
- (a) Enter necessary amount and select the currency.
- (b) Upload bank slip or cheque soft copy.
- (c) Enter necessary information and click "Send", the system will show you the detail of deposit by pop up window, and click "confirm".

	20.0	(Security)Orde	er loput	(Security)Cond Or		
	寶鉅證券有限公司	(Fund)Order	input		Fund Deposit Notice HKD 10000.00	1
		Order Type	Switch			
aúi	Equily Market		Sell	Curren a	確定取消	_
-	4	Fund Code	HKOOC	Bank a/c		
Ň	Account Management	Fund Name	BOCH	Deposit	Cheque •	
7	Deposit / Withdrawal	Qty	4000	Method	選擇檔案 未選擇任何檔案	8
	Withdraw		Buy	Bank Deposit Time	6/11/2019 0:00 III 🖸	
	Deposit	Fund House	Allianz	Cheque no.		
	Withdraw/Deposit Recon	Fund Code	HKDO	(IT ANY)	*** 任司口培圣帝许问士!女心方】这个,如不培马江深敏二米方物。	-
	FX Instruction	Fund Name	Allianz		(1)如未能提交(a)银行不负责; 如不按反正问第二者行动。 如未能提交(a)银行存款收据 和 (b)支票副本(如适用),我司或 您不会做订款答定)款值,并且退回这答定1款值。	۲
+	Others	Currency	HKD		(2) 计差确认现象任于人款功,并且还通知条件不成为效。 (2) 诸注意,我司收到的汇款全额可能少于同下汇出的实际金额,这是 因为汇款可能涉及往来银行费用或仲介银行费用(如适用)。	/10
					*** Our Company will ONLY accept deposit from client's name and all other third party deposits will NOT be accepted. (1) If fail to submit (a)Bank Deposit Slip and (b)Copy of	ue a
				1	Please Input Contact Phone No. Inside "Remark" Field	
		Portfolio) Tor		Show Deposit / Withdrawal Records	
		HKD Eqv. +	Cash Bal			
		HKD 8,862,7	780.00		Crass	V:

Click "Deposit / Withdrawal Records" to show the deposit / withdrawal details.

	<u>Hide Deposi</u>	t / Withdrawal Records
	6 Re	cord(s) Found
Currency	Amount Time	
HKD	10,000.00 2019-11-01 17:17	7:25
HKD	100,000.00 2019-11-01 17:20	3:19
HKD	100,000.00 2019-11-04 18:00	3:20
HKD	100,000.00 2019-11-04 18:07	7:33
HKD	10,000.00 2019-11-04 18:08	3:39
HKD	10,000.00 2019-11-04 18:20):17



After confirmed with bank, the deposit will be credited into your account immediately.

	DO Convertificant line that	(Security)Orde	r Input	(Security)Cond	Order H	IKEX	INE57*					
	育鉅證券有限公司	(Fund)Order I	nput									
-		Order Type	Switch							6	Э	i.
aa	Equity Market		Sell									2
		Fund Code	HKOOC			_						
Ň	Account Management	Fund Name	BOCH			$\mathbf{\Sigma}$	Your Fund Deposit N	otice Has Been Received	2			l
5	Deposit / Withdrawal	Qty	4000									ł.
8	Withdraw		Buy		Your a/	c Balance	e Will Be Credited After	Confirmation With Ban	k			
•	Deposit	Fund House	Allianz									m
8	Withdraw/Deposit Record	Fund Code	HKOOC				OK					
8	FX Instruction	Fund Name	Allianz									
-	Others	Gurrency	HKD									/le
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												91
		Portfolio	Тос									
		HKD Eqv. 👻 🕻	Cash Bal									V
		HKD 8,862,7	80.00							cont		1

Remarks:

Our Company will ONLY accept deposit from client's name and all other third party deposits will NOT be accepted.

- 1. If fail to submit (a) Bank Deposit Slip and (b) Copy of Cheque (if applicable), we may NOT confirm the deposit and refund the deposit monies.
- 2. Please note that the remittance amount received by us may be less than your original remittance due to any applicable correspondent or intermediary bank charges (if applicable).

5.2 Withdrawal

You can withdraw cash in the form of "Cheque" and deposit the cash to your registered bank account.

- (a) Enter necessary amount and select the currency
- (b) Click "OK", the system will show you the detail of deposit by pop up window, and click "confirm".

	PC Securities Limited 寶鉅證券有限公司	(Security)Order	er Input Input	(Security)Cond Ore	Request	Fund Withdrawal HKD 8000.00					
	寶鉅證券有限公司 Equity Market Account Management Deposit / Withdrawal Withdraw Deposit	(Fund)Order Type Fund Code Fund Name Qty Fund House	Input Switch Sell HK000 BOCH 4000 Buy Allianz	HKD ▼ 8000.0 Remark 提款方 半 戦止 下 一へ方 提款方 Withdr	Request 0 3 式:支票() 提款时间为上 工作天提款。 式:「本港汇 awal Methou ult:Cheque	Fund Withdrawal HKD 8000.00	號户) 指示:将当作 設次方式如下: bank a/c)	取演	,		Ch. 1
•	Others	Fund Code Fund Name Currency HKD Eqv. +	HKDU Allianz HKD Tor Cash Bal	# With Please	Idrawal ins Input Contact Withdraw All	ruction cut-off time is 11:0 truction received after 11:00 Phone No. Inside "Remark" Field	am will be			rite uu a a	e ct info
				CN 60	0276	Jiangsu Hengrui Medicine Co Ltd	500 \$80.68	CNY	N.A.	40,340.00	D

Remarks:

- 1. Withdrawal Method: Cheque (Default: Cheque deposit with your registered bank a/c) # Withdrawal instruction cut-off time is 11:00am. Withdrawal instruction received after 11:00am will be withdrawn as the next working day.
- 2. If you need to change the withdrawal method, please enter "CHATS" or "Telegraphic Transfer" in the "Remark" of the system. Please note that transaction fee may be charged.

5.3 FX Instruction

- (a) Select currency and Enter necessary amount.
- (b) Select the currency you want to exchange it.
- (c) Click "Submit and click "Confirm".

	PC Securities Limited	(Security)Order	r Input	(Security)Cond	Order	HKEX					
	寶鉅證券有限公司	(Fund)Order In	nput					FUNDS			
		Order Type	Switch		Ŧ						
ай і	Equity Market	:	Sell				Currency	Price	Price I	Date	Ch
	Account Management	Fund Code	HKOOOC	0039773 👻	Quote		HKD	33.55	2019-1	1-04	
	Donosit / Mithdows	FundName	4000								
<u>ਬ</u>	Withdraw	Qty	4000				FX Instruct	tion			
	Denosit	Fund House	Allianz	F	rom Currency & Amoun	KD HKD	▼ 10000.00				
	Withdraw/Denosit Peror	Fund Codo	HKOOL		To Currency	y CNY	•				ncy
	FX Instruction	Fund Gode	Alliona		Remark	k					
<u> </u>		Fund Name	Allianz								
+	Others	Gurrency	HKD						//		/le
						Submit					uct
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		Portfolio	Toda	av's Order	0/S Order	Trade Histr	nv Message//	Alert Market	FUND V		
		Portfolio	Toda	ay's Order	O/S Order	Trade Hist	ory Message//	Alert Market	FUND V		
		Portfolio (Security)Order	Tock	ay's Order	O/S Order	Trade Histr	ory Message//	Alert Market	FUND V		
	PC Securities Limited	Portfolio (Security)Order (Fund)Order In	Tock r Input	ay's Order	0/S Order	Trade Histo HKEX	Dry Message//	Alert Market	FUND V		
	PC Securities Limited 寶鉅證券有限公司	Portfolio (Security)Order (Fund)Order In	Tock r Input	ay's Order	O/S Order	Trade Histr	ory Message//	Alert Market	FUND V		
	PC Securities Limited 寶鉅證券有限公司	Portfolio (Security)Order In (Fund)Order In Order Type	Toda r Input Switch	ay's Order	O/S Order	Trade Hist	Dry Message//	Alert Market FUNDS Price	FUND	Date	Ct
	PC Securities Limited 寶鉅證券有限公司 Equity Market	Portfolio (Security)Order (Fund)Order In Order Type	Took	ay's Order	O/S Order	Trade Hist	Currency	Alert Market FUNDS Price 33.55	FUND FUND FUND FUND FUND FUND FUND FUND FUND	Date	Cł
	PC Securities Limited 寶鉅證券有限公司 Equity Market Account Management	Portfolio (Security)Order In (Fund)Order In Order Type Fund Code	Toda r Input Switch Sell HK0000	ay's Order (Security)Cond 0039773 +	O/S Order	Trade Hist	Currency HKD	Alert Market FUNDS Price 33.55	FUND	Date	Ct
	PC Securities Limited 寶鉅證券有限公司 Equity Market Account Management	Portfolio (Security)Order In Order Type Fund Code Fund Name	r Input Switch Sell HK0000	ay's Order (Security)Cond	O/S Order	Trade Hist	Currency	Alert Market FUNDS Price 33.55	FUND Price 2019-1	Date	Ctr
	PC Securities Limited 寶鉅證券有限公司 Equity Market Account Management Deposit / Withdrawal	Portfolio (Security)Order In Order Type Fund Code Fund Name Qty	r Input switch Switch HK0000 BOCH 4000	ay's Order (Security)Cond 0039773 +	O/S Order	Trade Hist	Currency HKD	Atert Market FUNDS Price 33.55	FUND Price 2019-1	Date 11-04	Cr
	PC Securities Limited 寶鉅證券有限公司 Equity Market Account Management Deposit / Withdrawal Withdraw	Portfolio (Security)Order In Order Type Fund Code Fund Name Qty	r Input Switch Sell HK0000 BOCH 4000 Buy Allianz	ay's Order (Security)Cond 0039773 +	O/S Order	Trade Hist	Currency	Alert Market FUNDS Price 33.55	FUND Price 2019-1	Date 11-04	Cł
	PC Securities Limited 寶鉅證券有限公司 Equily Market Account Management Deposit / Withdrawal Deposit	Portfolio (Security)Order In Order Type Fund Code Fund Name Qty Fund House	r Input Switch Sell HK0000 BOCH 4000 Buy Allianz	ay's Order (Security)Cond 0039773 +	O/S Order	Trade Hist	Operation Has B	Alert Market FUNDS Price 33.55 eeen Completed Si	FUND	Date 11-04	Ct
	PC Securities Limited 寶鉅證券有限公司 Equity Market Account Management Deposit / Withdrawal Withdraw Deposit	Portfolio (Security)Order Ir (Fund)Order Ir Order Type Fund Code Fund Name Qty Fund House Fund Gode	r Input switch Switch BOCH 4000 Buy Allianz HK000	ay's Order (Security)Cond 1039773 +	O/S Order	Trade Hist	Operation Has B	Alert Market FUNDS Price 33.55 een Completed St	FUND	Date 11-04	CP
	PC Securities Limited 寶鉅證券有限公司 Equity Market Account Management Deposit / Withdrawal Withdraw Deposit Withdraw/Deposit Record FX Instruction	Portfolio (Security)Order Ir Order Type Fund Code Fund Name Qty Fund House Fund Code Fund Code Fund Name	r Input Switch Sell HK0000 BUY Allianz HK000 Allianz	ay's Order (Security)Cond	O/S Order	Trade Hist	Operation Has B	Alert Market FUNDS Price 33.55 een Completed St	FUND	Date 11-04	Ct
	PC Securities Limited 寶鉅證券有限公司 Equity Market Account Management Deposit / Withdrawal Withdraw Deposit Withdraw/Deposit Recorr FX Instruction	Portfolio (Security)Order In Order Type Fund Code Fund Name Qty Fund House Fund Code Fund Name Currency	r Input switch Sell HK0000 BOCH 4000 BUY Allianz HK000 Allianz HK000	ay's Order (Security)Cond	O/S Order	Trade Hist	Operation Has B	Alert Market FUNDS Price 33.55 een Completed St	FUND	Date 11-04	Ct :ncy /le
	PC Securities Limited 實鉅證券有限公司 Equity Market Account Management Deposit / Withdrawal Withdraw Deposit Withdraw/Deposit Recorn FX Instruction	Portfolio (Security)Order In Order Type Fund Code Fund Name Qty Fund House Fund Code Fund Name Currency	Toda r Input Switch Sell HK000 BOCH 4000 BUY Allianz HK00t Allianz	ay's Order (Security)Cond	O/S Order	Trade Hist	Operation Has B	Alert Market FUNDS Price 33.55 een Completed St	FUND Price 2019-1	Date 11-04	Ct ⁺
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	PC Securities Limited 寶鉅證券有限公司 Equity Market Account Management Mithdrawa Deposit / Withdrawal Withdraw/ Deposit Withdraw/ Deposit EX Instruction	Portfolio (Security)Order In Order Type Fund Code Fund Name Qty Fund House Fund Code Fund Name Currency	Toda nput Switch Sell HK000 BOCH 4000 Buy Allianz HK00 Allianz	ay's Order (Security)Cond	O/S Order	HKEX	Operation Has B	Alert Market FUNDS Price 33.55	FUND FUND Frice Constraints Price Price Constraints Price Price Constraints Price P	Date	Ch ency /le uct a co
	PC Securities Limited 寶鉅證券有限公司 Equity Market Account Management Mithdraw Deposit / Withdrawal Withdraw/Deposit Recourt FX Instruction	Portfolio (Security)Order I (Fund)Order I Fund Code Fund Name Qty Fund House Fund Code Fund Name Currency	r Input Switch Sell HK000 Buy Allianz HKD	ay's Order (Security)Cond	O/S Order	HKEX	Operation Has B	Alert Market FUNDS Price 33.55	FUND FUND Frice 2019-	Date	CP incy /le uct a co
	PC Securities Limited 寶鉅證券有限公司 Equily Market Account Management Deposit / Withdrawal Deposit Withdraw/Deposit Recon FX Instruction	Portfolio (Security)Order In Order Type Fund Code Fund Name Guty Fund Code Fund Name Gurrency	r Input switch Sell HK0000 Buy Allianz HKD	ay's Order (Security)Cond	O/S Order	Trade Hist	Operation Has B	Alert Market FUNDS Price 33.55 een Completed St	FUND Price 2019-1	Date 11-04	Ch ency /le uct a co a infr

Remarks:

1. The currency rate is reference only. In case of disputes, PC Securities reserves the rights of final decision.



5.4 Deposit / Withdrawal Record

- (a) Select "Date".
- (b) Click "Run Report" to generate records.
- (c) Click "Export" to export a CSV file.
- (d) If you want to save or print the report, click on the corresponding link.

, letter	PC Securities Limited	(Security)Orde	er Input	(Security)Cond Order	r HKEX	NE57*					
	寶鉅證券有限公司	(Fund)Order	Input				FUN	DS			
_		Order Type	Switch							6	s 🔳
	Equity Market		Sell			Deposit/Wit	thdraw History				Ch
	Account Management	Fund Code	BOCH		Date : 20 20	19-11-06 ▼ 19-11-06	To 2019-11-06	T			1
ଟ୍ର	Deposit / Withdrawal	Qty	4000		20 20 20	19-11-05 19-11-04 19-11-03	pont				
	windraw	Freedblares	Allions		20 20	19-11-02 19-11-01					
	Deposit Withdraw/Deposit Record	Fund House	HKOOC		20 20 20	19-10-31 19-10-30 19-10-29					incy
8	FX Instruction	Fund Name	Allianz		20	19-10-28					
-	Oibor	Gurrency	HKD								/le
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		Portfolic	ο Τοι								
		HKD Eqv	Cash Bal								Val
		HKD 8,862,	780.00								Vai.
				CN 6002	76 Jiangsu H	lengrui Medicin	e Co Ltd 500	\$80.68	CNY	N.A. 40,3	40.00

6 Report

There are the reports that available: "Order Report", "Trade Report" and "Order Trade Report".

(a) Click "Equity Market" and then click on the desired report.



- (b) Select "Date" and choose "Market" and enter "Product Code".
- (c) Click "Run Report" to generate records.
- (d) Click "Export" to export a CSV file.
- (e) If you want to save or print the report, click on the corresponding link.

	PC Securities Limited 寶鉅證券有限公司	(Security)Order In (Fund)Order Input	Cond Order	HKE	X //E5/*					
		Security Name								
111	Equity Market	Market	HKEX - Hong Kong	g Stock 🔽]					
	Order Report	Buy/Sell	Please choose	- 🗸						
	Trade Report	Order Type	E - Enhanced Limi							
	Order Trade Report	51451 Typo				Order Trade Report				
V a	Account Management	Product Code Price				Date : 2019-10-15 🗸 To 2019-1	10-22 🗸			
5	Deposit / Withdrawal	Max Qty			Produc	t Code : FUND V	(Leave	Blank For		
+	Others	Max \$				All) Run Report Export]			
			Order							
						Welcome! Client (PAOCHU Paochu	i Testing Account 蕒	(鉅測試帳戶),	, 15-min	dela
			Today's Order	O/S (Market BOND	~		
		HKD Eqv Cash	Bal.	Market▼	Product	ProductName	Qty	Ref.Price	CCY	Di Cl
		Avail. Cash	9,983,880.00	CN	600276	Jiangsu Hengrui Medicine Co Ltd	500	\$80.68	CNY	Ν.
		Great Limit	0.00	FUND	HK0000039773	BOCHK Hong Kong Dollar Income F	. 2,234.5678	\$10.98	HKD	Ca

7 Frequently Asked Question (FAQ)

7.1 What is the requirement for using the online trading service?

In order to access our online trading service, the following component will be required.

- A machine with internet service;
- The machine is installed a website browser with internet service (Microsoft Internet Explorer (IE) is preferred)

7.2 Why cannot receive the password through email?

Please check the junk-mail or spam mail box. If you still could not find the email, please try SMS message to receive the password.

7.3 Why Trading Information Zone cannot be displayed? Please try to connect via firewall before login.

7.4 How to display Chinese character in online trading system?

Update "Internet Explorer" to the latest version, remove the browser's browsing history, and then login to the system again.

7.5 Why cannot click to select item?

Update "Internet Explorer" to the latest version, remove the browser's browsing history, and then login to the system again.

7.6 How long will the trading records be kept in the system?

In general, the system will keep the trading records for the past 30 days. (Include Sat, Sun and public holidays).

Order Status code	Description
FEX	The order has been filled and completed
CAN	The order has been cancelled
REJ	The order has been rejected
NEW	The order is waiting to be processed
PRO	The order has been submitted to the fund company for processing and cannot be cancelled
ACK	The fund company has confirmed the order and the product has in the settlement process. It cannot be cancelled

7.7 What does the order status code mean?



If you have any enquiries about "PC Securities Online Trading System" or "PC Securities Accounts", please contact our Customer Service during office hours. Thank you for supporting PC Securities Limited!

PC Securities Limited

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